Министерство науки и высшего образования Российской Федерации ФГБОУ ВО «Удмуртский государственный университет» Институт истории и социологии

## В. Р. ЗОЛОТЫХ

## ВНЕШНЯЯ ПОЛИТИКА США ВО ВТОРОЙ ПОЛОВИНЕ XX в. – НАЧАЛЕ XXI в.

Выпуск 1

Сборник документов 1945–1952 гг.

Учебное пособие



Ижевск 2022

## УДК 327(73)"1945/1952"(093)(075.8) ББК 63.3(7Сое)63-6ю11я73 3-814

Рекомендовано к изданию Учебно-методическим советом УдГУ

#### Рецензент: д.и.н. В. А. Чиркин

#### Золотых В. Р.

3-814 Внешняя политика США во второй половине XX в – начале XXI в. : вып. 1.: Сборник документов 1945–1952 гг. : учеб. пособие. – Ижевск : Удмуртский университет, 2022. – 127 с.

#### ISBN 978-5-4312-0989-5

В учебном пособии представлены документы на английском языке, определившие формирование внешнеполитических приоритетов США в первые послевоенные годы. Проведен краткий анализ документов и причин их появления. Сформулированы вопросы и темы рефератов к каждому документу.

Пособие рекомендуется бакалаврам и магистрам, обучающимся по направлениям «История», «Международные отношения», «Политология» и изучающим дисциплины «Новейшая история», «Внешняя политика ведущих европейских государств и США в XX-XXI вв.: сравнительный анализ», «Внешняя политика США в XX-XXI вв.». «Военные доктрины США – история и современность», «Всеобщая история».

УДК 327(73)"1945/1952"(093)(075.8) ББК 63.3(7Сое)63-6ю11я73

ISBN 978-5-4312-0989-5

© В. Р. Золотых, 2022 © ФГБОУ ВО «Удмуртский государственный университет», 2022

## Содержание

Введение	4
Глава 1. КРЫМСКАЯ (ЯЛТИНСКАЯ) КОНФЕРЕНЦИЯ	
И СОЗДАНИЕ ООН (1945 г)	8
The Yalta Conference Protocol (February1945)	11
The United Nations Charter (June 1945)	20
Глава 2. ВНЕШНЯЯ ПОЛИТИКА АДМИНИСТРАЦИИ	
Г. ТРУМЭНА (1945–1948) (1часть)	53
Harry S. Truman: Statement of Policy on Post-War Japan	
(1945)	55
George Marshall: "The Marshall Plan"	
(1947)	64
George Kennan: "The Sources of Soviet Conduct"(1947)	68
Harry S. Truman: Address Before a Joint Session of	
Congress(March 1947)	86
Глава 3. ВНЕШНЯЯ ПОЛИТИКА АДМИНИСТРАЦИИ	
Г. ТРУМЭНА (1949 - 1952) (2 часть)	93
Harry S. Truman: Inaugural Address, (Jan. 1949)	95
North Atlantic Treaty, (April 1949)	102
Harry S. Truman: Address to the Nation on Korea,	
(July 19, 1950)	107
Harry S. Truman: Annual Message to Congress,	
(January 8, 1951)	112
Список рекомендованной литературы	124

#### Введение

В учебное пособие включены наиболее важные документы и материалы по новейшей истории, освещающие основные проблемы внешнеполитической деятельности США в первые послевоенные десятилетия. Издание предназначено для студентов, аспирантов и преподавателей исторических и политологических факультетов вузов. Помимо анализа внешней политики США, оно имеет целью оказать практическую помощь в преподавании курса новейшей истории и политики стран Европы и Америки.

Пособие предназначено для проведения семинарских занятий, для самостоятельной работы студентов. Некоторые источники могут быть использованы при написании курсовых и выпускных квалификационных работ.

Представленные для изучения, анализа документы предлагаются студентам в оригинале – на английском языке. Конечно, некоторые документы можно найти и на русском языке. Однако, подлинного текста не сможет заменить даже качественно сделанный перевод.

При анализе документов необходимо иметь в ввиду следующее:

Субъективность автора документа – зависимость суждений, мнений, представлений и т.п. от субъекта, его взглядов, интересов, вкусов, предпочтений и т.п. Субъективность – это особенность социально-гуманитарного знания. Автор «переносит» на информацию своё понимание, свою оценку происходящих событий и процессов – в соответствии со своим исследовательским опытом, «историей своей социализации».

Приведём в связи с этим интересное высказывание К.-Г. Юнга: «Нельзя, конечно, требовать, чтобы наблюдатель смотрел только объективно – это невозможно. Надо довольствоваться уже и тем, если он смотрит не слишком субъективно»<sup>1</sup>.

О том, как кардинально меняются оценки исторических событий мы могли наблюдать с середины 80-х годов прошлого века, когда реализация политики Гласности привела к появлению огромного числа и научной, и публицистической литературы, а представленные в них оценки и выводы нередко серьезно

<sup>&</sup>lt;sup>1</sup> Юнг, К.-Г. Психологические типы / К.-Г.Юнг. – 2-е изд. – Минск : Харвест, 2017. – 528. – Стр.14.

расходились или являлись противоположными. То, что казалось незыблемым, подверглось критике, переосмыслению, а иногда и конъюнктурному «переписыванию».

Достаточно проанализировать учебники по истории с конца 1980-х годов XX в. до начала 20-х годов XXI в. Разные авторы, предоставляя различные аргументы, иногда вполне убедительные, предлагают разные оценки одних и тех же событий (начиная от создания Русского государства и Крещения Руси до Распада СССР и более поздних событий) и политических деятелей (начиная с Александра Невского и заканчивая политиками XX века – Лениным, Сталиным, Хрущёвым, Горбачёвым).

То же, правда в меньшей степени, мы можем сказать и о трактовках новейшей американской истории и политики в трудах ряда отечественных исследователей. В зависимости от политических предпочтений, оценки одних и тех же событий в США варьируются от крайне негативных до очень позитивных и даже восторженных. этом большинство серьезных экспертов придерживаются При взвешенных, объективных оценок, факты, опираясь на всесторонний анализ разносторонние источники и изучаемого явления или конкретного события.

Открытие архивов в позднем СССР и в современной России продемонстрировало огромную значимость первоисточников – документов эпохи. Это «заставляет» учёных-исследователей более объективно оценивать события, деятельность политиков.

Что касается американских ученых, то сегодня на ИХ деятельность все больше влияния оказывает господствующая в США, и особенно в академической среде, «воинствующая» либеральная идеология, нередко претендующая на истину в последней инстанции. Пропитанная «прогрессивной илеями дискриминации», политкорректности, мульткультурализма, борьбы с «системным расизмом И борьбой за права национальных и сексуальных альтернативный меньшинств, она отвергает взгляд и критику либеральными оппонентов. Несогласные с идеями эксперты и политики ущемляются в правах, демонизируются и лишаются возможности отстаивать свои взгляды в публичном пространстве получившее явление. в политологической науке название «демократический деспотизм».

5

Вводимые сегодня в США господствующей идеологией гласные и негласные запреты – например, на использование в сфере образования произведений Марка Твена или показа фильма ветром» «Унесенные т. п. объективность И \_ влияют на и всесторонность оценок американских экспертов, в том числе и в сфере внешней политики.

Следующий момент, который надо иметь в виду начинающему исследователю – проблема *фальсификации истории*. Заведомо предвзятое, целенаправленное искажение фактов, тенденций, действий тех или иных лиц в историческом прошлом. Насколько огромна и сложна для преодоления эта проблема говорит и тот факт, что в 2009 г. при Президенте Российской Федерации была создана специальная комиссия по противодействию фальсификации, особенно в том, что касается решающей роли СССР в победе над германским фашизмом и японским милитаризмом во Второй мировой войне.

Несколько слов о категориях (видах) источников, представленных в учебном пособии (сборнике).

1. Инаугурационные речи Президентов.

Речь Президента – обязательный атрибут инаугурации. Это своеобразная декларация принципов новой администрации. Инаугурационная речь произносится на ступенях Парламента США – Капитолия 20 января, примерно через два с половиной месяца после победы на выборах президента, которые проходят каждые четыре года в первый вторник ноября после первого понедельника.

2. Документальные материалы – выступления Президентов: ежегодные послания Конгрессу, речи на совместном заседании палат Конгресса.

3. Выступления государственных деятелей – Госсекретаря, министров, конгрессменов и др.

4. Публицистические работы известных американских политиков. В первом выпуске представлена статья известного американского дипломата и государственного деятеля Джорджа Кеннана.

В пособие (сборник № 1) включены документы, характеризующие период правления президента Гарри Трумэна (1945–1952). Именно в этот период закладывалась основа американской внешней политики периода «Холодной войны»

6

и определялись внешнеполитические приоритеты страны на многие десятилетия вперед.

Каждому документу предшествует краткое вступление – сведения о датировке, форме, авторе документа и пр.

Знакомство с представленными документами требует критического подхода и анализа с точки зрения научной методологии. Одна из задач — закрепить навыки работы с источниками, навыки использования документальных материалов для аргументации, разъяснения выводов и оценок.

Работа с оригинальными текстами позволит усовершенствовать навыки аналитической работы, владение языком, понимание мотивов и причин появления важного исторического документа. Бесспорно, представленные документы помогут лучше разобраться в событиях того времени, представить атмосферу политической жизни США в первое десятилетие после окончания Второй мировой войны.

## ГЛАВА 1. КРЫМСКАЯ (ЯЛТИНСКАЯ) КОНФЕРЕНЦИЯ И СОЗДАНИЕ ООН (1945 г.)

**Ялтинская (Крымская) конференция** – встреча лидеров трёх стран антигитлеровской коалиции – СССР, США и Великобритании – проходила с 4 по 11 февраля 1945 в посёлке Ливадия в 3 км от Ялты. В работе Конференции приняли участие И. В. Сталин, Ф. Рузвельт, У. Черчилль, министры иностранных дел, представители Генеральных штабов. В Ялте рассматривались вопросы послевоенного устройства мира (в том числе и территориальные), были намечены основные принципы послевоенной политики стран с целью сохранения мира, обсуждались процедуры, гарантирующие неизменность новых государственных границ другие вопросы.

Позиция США по ряду ключевых вопросов международной повестки дня отличалась от британской. Если для Лондона приоритетом являлись вопросы устройства и развития послевоенной Европы, при этом особое внимание уделялось Польше и ситуации на Балканах, а также влиянию коммунистов в Италии, то для США на первый план выходила задача получения гарантий от СССР о масштабном участии в войне против Японии. Ради достижения данной цели американские политики были готовы проявлять гибкость и понимание в отношении действий Москвы в странах Восточной и Центральной Европы. В отличие от Великобритании, США были не готовы идти на конфронтацию с Москвой о судьбе (политической ориентации) стран, где ситуацию в военном и политическом плане полностью контролировал СССР.

В отношении будущего Германии все три лидера высказались за максимальное ослабление и раздробление страны, причем позиция США по данному вопросу была самой жесткой, а позиция СССР более гибкой. Была выработана общая позиция по наказанию нацистских преступников и достигнута договоренность, что 50% репараций со стороны Германии должен получить СССР. США поддержали предложение Великобритании о включении Франции в состав Контрольного Совета и выделении зоны оккупации в побежденной Германии. В вопросе о членстве 16 союзных республик в ООН, США, поддержанные Великобританией, заняли непримиримую позицию. В итоге, Москва согласилась, что в новой организации будет три представителя – СССР, Украина и Белоруссия.

Большим успехом американской дипломатии считается принятие «Декларации об освобожденной Европе», в которой говорилось о свободных выборах и создании демократических правительств в странах континента. В этой связи стоит отметить, что в итоговом документе часть положений, посвященных контролю за исполнением документа, под влиянием советской делегации, приобрели менее конкретный и обязывающий характер.

В первые годы «холодной войны» республиканцы заявили о предательстве демократами в Ялте американских интересов. А накануне выборов Президента 1952 года запустили в политический лексикон понятие «ялтинское предательство». Вопрос, насколько далеко США пошли на уступки СССР, с учетом доминирующей роли Москвы в Восточной и Центральной Европе, и как это отразилось на судьбе стран данного региона, остается дискуссионным. Бесспорно то, что Ф. Рузвельт, в отличие от Г.Трумэна, обладал стратегическим видением и мыслил глобально.

Одним из важнейших вопросов, рассматриваемых на Ялтинской конференции, был вопрос о создании международной организации для поддержания мира и безопасности.



Учредительная конференция ООН состоялась 25 апреля 1945 г. в Сан-Франциско. В ней приняли участие 850 делегатов из 50 стран мира, которые выработали и приняли Устав организации. Документ вступил в силу в октябре 1945 г. и включал в себя 111 статей.

компромиссной Накануне основе был подписания на урегулирован вопрос о формировании польского правительства, вопросы при а также процедурные голосовании в Совете решения Безопасности, без CIIIA которых делегации и Великобритании отказывались принять Устав новой организации.



Устав включает в себя Примечание, Преамбулу и 19 глав. Оригинал документа хранится в Национальном управлении архивов и документации США.

Особое внимание стоит обратить на 51 статью Устава, так как именно она, продекларировав право на коллективную самооборону, дала «зеленый свет» созданию региональных военно-политических союзов.

Состав американской делегации в Сан-Франциско был двухпартийным, что способствовало последующей успешной ратификации документа в Сенате.

В данной главе представлены два документа:

- 1. The Yalta Conference Protocol (February 1945).
- 2. The United Nations Charter (June 1945).

## The Yalta Conference Protocol, (February 1945).

Washington, March 24 - The text of the agreements reached at the Crimea (Yalta) Conference between President Roosevelt, Prime Minister Churchill and Generalissimo Stalin, as released by the State Department today, follows:

## PROTOCOL OF PROCEEDINGS OF CRIMEA CONFERENCE

The Crimea Conference of the heads of the Governments of the United States of America, the United Kingdom, and the Union of Soviet Socialist Republics, which took place from Feb. 4 to 11, came to the following conclusions:

## I. WORLD ORGANIZATION

It was decided:

1. That a United Nations conference on the proposed world organization should be summoned for Wednesday, 25 April, 1945, and should be held in the United States of America.

2. The nations to be invited to this conference should be:

(a) the United Nations as they existed on 8 Feb., 1945; and

(b) Such of the Associated Nations as have declared war on the common enemy by 1 March, 1945. (For this purpose, by the term «Associated Nations» was meant the eight Associated Nations and Turkey.) When the conference on world organization is held, the delegates of the United Kingdom and United State of America will support a proposal to admit to original membership two Soviet Socialist Republics, i.e., the Ukraine and White Russia.

3. That the United States Government, on behalf of the three powers, should consult the Government of China and the French Provisional Government in regard to decisions taken at the present conference concerning the proposed world organization.

4. That the text of the invitation to be issued to all the nations which would take part in the United Nations conference should be as follows:

«The Government of the United States of America, on behalf of itself and of the Governments of the United Kingdom, the Union of Soviet Socialistic Republics and the Republic of China and of the Provisional Government of the French Republic invite the Government of ------ to send representatives to a conference to be held on 25 April, 1945, or soon thereafter, at San Francisco, in the United States of America, to prepare a charter for a general international organization for the maintenance of international peace and security».

«The above-named Governments suggest that the conference consider as affording a basis for such a Charter the proposals for the establishment of a general international organization which were made public last October as a result of the Dumbarton Oaks conference and which have now been supplemented by the following provisions for Section C of Chapter VI:»

C. Voting

«1. Each member of the Security Council should have one vote».

«2. Decisions of the Security Council on procedural matters should be made by an affirmative vote of seven members».

«3. Decisions of the Security Council on all matters should be made by an affirmative vote of seven members, including the concurring votes of the permanent members; provided that, in decisions under Chapter VIII, Section A and under the second sentence of Paragraph 1 of Chapter VIII, Section C, a party to a dispute should abstain from voting».

«Further information as to arrangements will be transmitted subsequently».

«In the event that the Government of ------ desires in advance of the conference to present views or comments concerning the proposals, the Government of the United States of America will be pleased to transmit such views and comments to the other participating Governments».

Territorial trusteeship:

It was agreed that the five nations which will have permanent seats on the Security Council should consult each other prior to the United Nations conference on the question of territorial trusteeship.

The acceptance of this recommendation is subject to its being made clear that territorial trusteeship will only apply to

(a) existing mandates of the League of Nations;

(b) territories detached from the enemy as a result of the present war;

(c) any other territory which might voluntarily be placed under trusteeship; and

(d) no discussion of actual territories is contemplated at the forthcoming United Nations conference or in the preliminary consultations,

and it will be a matter for subsequent agreement which territories within the above categories will be place under trusteeship.

[Begin first section published Feb., 13, 1945.]

## **II. DECLARATION OF LIBERATED EUROPE**

The following declaration has been approved:

The Premier of the Union of Soviet Socialist Republics, the Prime Minister of the United Kingdom and the President of the United States of America have consulted with each other in the common interests of the people of their countries and those of liberated Europe. They jointly declare their mutual agreement to concert during the temporary period of instability in liberated Europe the policies of their three Governments in assisting the peoples liberated from the domination of Nazi Germany and the peoples of the former Axis satellite states of Europe to solve by democratic means their pressing political and economic problems.

The establishment of order in Europe and the rebuilding of national economic life must be achieved by processes which will enable the liberated peoples to destroy the last vestiges of nazism and fascism and to create democratic institutions of their own choice. This is a principle of the Atlantic Charter – the right of all people to choose the form of government under which they will live – the restoration of sovereign rights and self-government to those peoples who have been forcibly deprived to them by the aggressor nations.

To foster the conditions in which the liberated people may exercise these rights, the three governments will jointly assist the people in any European liberated state or former Axis state in Europe where, in their judgment conditions require,

(a) to establish conditions of internal peace;

(b) to carry out emergency relief measures for the relief of distressed peoples;

(c) to form interim governmental authorities broadly representative of all democratic elements in the population and pledged to the earliest possible establishment through free elections of Governments responsive to the will of the people; and

(d) to facilitate where necessary the holding of such elections.

The three Governments will consult the other United Nations and provisional authorities or other Governments in Europe when matters of direct interest to them are under consideration. When, in the opinion of the three Governments, conditions in any European liberated state or former Axis satellite in Europe make such action necessary, they will immediately consult together on the measure necessary to discharge the joint responsibilities set forth in this declaration.

By this declaration we reaffirm our faith in the principles of the Atlantic Charter, our pledge in the Declaration by the United Nations and our determination to build in cooperation with other peace-loving nations world order, under law, dedicated to peace, security, freedom and general well-being of all mankind.

In issuing this declaration, the three powers express the hope that the Provisional Government of the French Republic may be associated with them in the procedure suggested.

[End first section published Feb., 13, 1945.]

#### **III. DISMEMBERMENT OF GERMANY**

It was agreed that Article 12 (a) of the Surrender terms for Germany should be amended to read as follows:

«The United Kingdom, the United States of America and the Union of Soviet Socialist Republics shall possess supreme authority with respect to Germany. In the exercise of such authority they will take such steps, including the complete dismemberment of Germany as they deem requisite for future peace and security».

The study of the procedure of the dismemberment of Germany was referred to a committee consisting of Mr. Anthony Eden, Mr. John Winant, and Mr. Fedor T. Gusev. This body would consider the desirability of associating with it a French representative.

# IV. ZONE OF OCCUPATION FOR THE FRENCH AND CONTROL COUNCIL FOR GERMANY

It was agreed that a zone in Germany, to be occupied by the French forces, should be allocated France. This zone would be formed out of the British and American zones and its extent would be settled by the British and Americans in consultation with the French Provisional Government.

It was also agreed that the French Provisional Government should be invited to become a member of the Allied Control Council for Germany.

## V. REPARATION

The following protocol has been approved: Protocol

On the Talks Between the Heads of Three Governments at the Crimean Conference on the Question of the German Reparations in Kind

1. Germany must pay in kind for the losses caused by her to the Allied nations in the course of the war. Reparations are to be received in the first instance by those countries which have borne the main burden of the war, have suffered the heaviest losses and have organized victory over the enemy.

2. Reparation in kind is to be exacted from Germany in three following forms:

(a) Removals within two years from the surrender of Germany or the cessation of organized resistance from the national wealth of Germany located on the territory of Germany herself as well as outside her territory (equipment, machine tools, ships, rolling stock, German investments abroad, shares of industrial, transport and other enterprises in Germany, etc.), these removals to be carried out chiefly for the purpose of destroying the war potential of Germany.

(b) Annual deliveries of goods from current production for a period to be fixed.

(c) Use of German labor.

3. For the working out on the above principles of a detailed plan for exaction of reparation from Germany an Allied reparation commission will be set up in Moscow. It will consist of three representatives – one from the Union of Soviet Socialist Republics, one from the United Kingdom and one from the United States of America.

4. With regard to the fixing of the total sum of the reparation as well as the distribution of it among the countries which suffered from the German aggression, the Soviet and American delegations agreed as follows:

«The Moscow reparation commission should take in its initial studies as a basis for discussion the suggestion of the Soviet Government that the total sum of the reparation in accordance with the points (a) and (b) of the Paragraph 2 should be 22 billion dollars and that 50 per cent should go to the Union of Soviet Socialist Republics».

The British delegation was of the opinion that, pending consideration of the reparation question by the Moscow reparation commission, no figures of reparation should be mentioned.

The above Soviet-American proposal has been passed to the Moscow reparation commission as one of the proposals to be considered by the commission.

#### VI. MAJOR WAR CRIMINALS

The conference agreed that the question of the major war criminals should be the subject of inquiry by the three Foreign Secretaries for report in due course after the close of the conference.

[Begin second section published Feb. 13, 1945.]

#### VII. POLAND

The following declaration on Poland was agreed by the conference:

«A new situation has been created in Poland as a result of her complete liberation by the Red Army. This calls for the establishment of a Polish Provisional Government which can be more broadly based than was possible before the recent liberation of the western part of Poland. The Provisional Government which is now functioning in Poland should therefore be reorganized on a broader democratic basis with the inclusion of democratic leaders from Poland itself and from Poles abroad. This new Government should then be called the Polish Provisional Government of National Unity».

«M. Molotov, Mr. Harriman and Sir A. Clark Kerr are authorized as a commission to consult in the first instance in Moscow with members of the present Provisional Government and with other Polish democratic leaders from within Poland and from abroad, with a view to the reorganization of the present Government along the above lines. This Polish Provisional Government of National Unity shall be pledged to the holding of free and unfettered elections as soon as possible on the basis of universal suffrage and secret ballot. In these elections all democratic and anti-Nazi parties shall have the right to take part and to put forward candidates».

«When a Polish Provisional of Government National Unity has been properly formed in conformity with the above, the Government of the U.S.S.R., which now maintains diplomatic relations with the present Provisional Government of Poland, and the Government of the United Kingdom and the Government of the United States of America will establish diplomatic relations with the new Polish Provisional Government National Unity, and will exchange Ambassadors by whose reports the respective Governments will be kept informed about the situation in Poland.»

«The three heads of Government consider that the eastern frontier of Poland should follow the Curzon Line with digressions from it in some regions of five to eight kilometers in favor of Poland. They recognize that Poland must receive substantial accessions in territory in the north and west. They feel that the opinion of the new Polish Provisional Government of National Unity should be sought in due course of the extent of these accessions and that the final delimitation of the western frontier of Poland should thereafter await the peace conference.»

#### VIII. YUGOSLAVIA

It was agreed to recommend to Marshal Tito and to Dr. Ivan Subasitch:

(a) That the Tito-Subasitch agreement should immediately be put into effect and a new government formed on the basis of the agreement.

(b) That as soon as the new Government has been formed it should declare:

(I) That the Anti-Fascist Assembly of the National Liberation (AVNOJ) will be extended to include members of the last Yugoslav Skupstina who have not compromised themselves by collaboration with the enemy, thus forming a body to be known as a temporary Parliament and

(II) That legislative acts passed by the Anti-Fascist Assembly of the National Liberation (AVNOJ) will be subject to subsequent ratification by a Constituent Assembly; and that this statement should be published in the communiqué of the conference.

#### IX. ITALO-YOGOSLAV FRONTIER – ITALO-AUSTRIAN FRONTIER

Notes on these subjects were put in by the British delegation and the American and Soviet delegations agreed to consider them and give their views later.

#### X. YUGOSLAV-BULGARIAN RELATIONS

There was an exchange of views between the Foreign Secretaries on the question of the desirability of a Yugoslav-Bulgarian pact of alliance. The question at issue was whether a state still under an armistice regime could be allowed to enter into a treaty with another state. Mr. Eden suggested that the Bulgarian and Yugoslav Governments should be informed that this could not be approved. Mr. Stettinius suggested that the British and American Ambassadors should discuss the matter further with Mr. Molotov in Moscow. Mr. Molotov agreed with the proposal of Mr. Stettinius.

#### **XI. SOUTHEASTERN EUROPE**

The British delegation put in notes for the consideration of their colleagues on the following subjects:

(a) The Control Commission in Bulgaria.

(b) Greek claims upon Bulgaria, more particularly with reference to reparations.

(c) Oil equipment in Rumania.

#### **XII. IRAN**

Mr. Eden, Mr. Stettinius and Mr. Molotov exchanged views on the situation in Iran. It was agreed that this matter should be pursued through the diplomatic channel.

[Begin third section published Feb. 13, 1945.]

#### **XIII. MEETINGS OF THE THREE FOREIGN SECRETARIES**

The conference agreed that permanent machinery should be set up for consultation between the three Foreign Secretaries; they should meet as often as necessary, probably about every three or four months.

These meetings will be held in rotation in the three capitals, the first meeting being held in London.

[End third section published Feb. 13, 1945.]

## XIV. THE MONTREAUX CONVENTION AND THE STRAITS

It was agreed that at the next meeting of the three Foreign Secretaries to be held in London, they should consider proposals which it was understood the Soviet Government would put forward in relation to the Montreaux Convention, and report to their Governments. The Turkish Government should be informed at the appropriate moment.

The forgoing protocol was approved and signed by the three Foreign Secretaries at the Crimean Conference Feb. 11, 1945.

E. R. Stettinius Jr. M. Molotov Anthony Eden

## AGREEMENT REGARDING JAPAN

The leaders of the three great powers – the Soviet Union, the United States of America and Great Britain – have agreed that in two or three months after Germany has surrendered and the war in Europe is terminated, the Soviet Union shall enter into war against Japan on the side of the Allies on condition that:

1. The status quo in Outer Mongolia (the Mongolian People's Republic) shall be preserved.

2. The former rights of Russia violated by the treacherous attack of Japan in 1904 shall be restored, viz.:

(a) The southern part of Sakhalin as well as the islands adjacent to it shall be returned to the Soviet Union;

(b) The commercial port of Dairen shall be internationalized, the pre-eminent interests of the Soviet Union in this port being safeguarded, and the lease of Port Arthur as a naval base of the U.S.S.R. restored;

(c) The Chinese-Eastern Railroad and the South Manchurian Railroad, which provide an outlet to Dairen, shall be jointly operated by the establishment of a joint Soviet-Chinese company, it being understood that the pre-eminent interests of the Soviet Union shall be safeguarded and that China shall retain sovereignty in Manchuria;

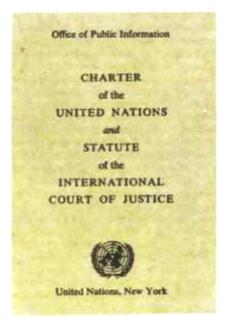
3. The Kurile Islands shall be handed over to the Soviet Union.

It is understood that the agreement concerning Outer Mongolia and the ports and railroads referred to above will require concurrence of Generalissimo Chiang Kai-shek. The President will take measures in order to maintain this concurrence on advice from Marshal Stalin.

The heads of the three great powers have agreed that these claims of the Soviet Union shall be unquestionably fulfilled after Japan has been defeated. For its part, the Soviet Union expresses it readiness to conclude with the National Government of China a pact of friendship and alliance between the U.S.S.R. and China in order to render assistance to China with its armed forces for the purpose of liberating China from the Japanese yoke.

Joseph Stalin Franklin D. Roosevelt Winston S. Churchill February 11, 1945.

#### The United Nations Charter, (June 1945).



WE THE PEOPLES OF THE UNITED NATIONS DETERMINED to save succeeding generations from the scourge of war, which twice in our lifetime has brought untold sorrow to mankind, and to reaffirm faith in fundamental human rights, in the dignity and worth of the human person, in the equal rights of men and women and of nations large and small, and to establish conditions under which justice and respect for the obligations arising from treaties and other sources of international law can be maintained, and to promote social progress and better standards of life in larger freedom, AND FOR THESE ENDS to practice tolerance and live together in peace with one another as good neighbours, and to unite our strength to maintain international peace and security, and to ensure, by the acceptance of principles and the institution of methods, that armed force shall not be used, save in the common interest, and to employ international machinery for the promotion of the economic and social advancement of all peoples, HAVE RESOLVED TO COMBINE OUR EFFORTS TO ACCOMPLISH THESE AIMS Accordingly, our respective Governments, through representatives assembled in the city of San Francisco, who have exhibited their full powers found to be in good and due form, have agreed to the present Charter of the United Nations and do hereby establish an international organization to be known as the United Nations.

## **CHAPTER I. PURPOSES AND PRINCIPLES**

## Article 1

The Purposes of the United Nations are:

1. To maintain international peace and security, and to that end: to take effective collective measures for the prevention and removal of threats to the peace, and for the suppression of acts of aggression or other breaches of the peace, and to bring about by peaceful means, and in conformity with the principles of justice and international law, adjustment or settlement of international disputes or situations which might lead to a breach of the peace;

2. To develop friendly relations among nations based on respect for the principle of equal rights and self-determination of peoples, and to take other appropriate measures to strengthen universal peace;

3. To achieve international co-operation in solving international problems of an economic, social, cultural, or humanitarian character, and in promoting and encouraging respect for human rights and for fundamental freedoms for all without distinction as to race, sex, language, or religion; and

4. To be a centre for harmonizing the actions of nations in the attainment of these common ends.

#### Article 2

The Organization and its Members, in pursuit of the Purposes stated in <u>Article 1</u>, shall act in accordance with the following Principles.

1. The Organization is based on the principle of the sovereign equality of all its Members.

2. All Members, in order to ensure to all of them the rights and benefits resulting from membership, shall fulfill in good faith the obligations assumed by them in accordance with the present Charter.

3. All Members shall settle their international disputes by peaceful means in such a manner that international peace and security, and. justice, are not endangered.

4. All Members shall refrain in their international relations from the threat or use of force against the territorial integrity or political independence of any state, or in any other manner inconsistent with the Purposes of the United Nations.

5. All Members shall give the United Nations every assistance in any action it takes in accordance with the present Charter, and shall refrain from giving assistance to any state against which the United Nations is taking preventive or enforcement action.

6. The Organization shall ensure that states which are not Members of the United Nations act in accordance with these Principles so far as may be necessary for the maintenance of international peace and security.

7. Nothing contained in the present Charter shall authorize the United Nations to intervene in matters which are essentially within the domestic jurisdiction of any state or shall require the Members to submit such matters to settlement under the present Charter; but this principle shall not prejudice the application of enforcement measures under <u>Chapter VII</u>.

## **CHAPTER II. MEMBERSHIP**

## Article 3

The original Members of the United Nations shall be the states which, having participated in the United Nations Conference on International Organization at San Francisco, or having previously signed the Declaration by United Nations of 1 January 1942, sign the present Charter and ratify it in accordance with <u>Article 110</u>.

#### Article 4

1. Membership in the United Nations is open to a other peace-loving states which accept the obligations contained in the present Charter and, in the judgment of the Organization, are able and willing to carry out these obligations.

2. The admission of any such state to membership in the Nations will be effected by a decision of the General Assembly upon the recommendation of the Security Council.

#### Article 5

A Member of the United Nations against which preventive or enforcement action has been taken by the Security Council may be suspended from the exercise of the rights and privileges of membership by the General Assembly upon the recommendation of the Security Council. The exercise of these rights and privileges may be restored by the Security Council.

#### Article 6

A Member of the United Nations which has persistently violated the Principles contained in the present Charter may be' expelled from the Organization by the General Assembly upon the recommendation of the Security Council.

#### **CHAPTER III. ORGANS**

#### Article 7

1. There are established as the principal organs of the United Nations: a General Assembly, a Security Council, an Economic and Social Council, a Trusteeship Council, an International Court of Justice, and a Secretariat.

2. Such subsidiary organs as may be found necessary may be established in accordance with the present Charter.

#### Article 8

The United Nations shall place no restrictions on the eligibility of men and women to participate in any capacity and under conditions of equality in its principal and subsidiary organs.

#### CHAPTER IV. THE GENERAL ASSEMBLY

#### Composition

#### Article 9

1. The General Assembly shall consist of all the Members of the United Nations.

2. Each Member shall have not more than five representatives in the General Assembly.

#### **Functions and Powers**

#### Article 10

The General Assembly may discuss any questions or any matters within the scope of the present Charter or relating to the powers and functions of any organs provided for in the present Charter, and, except as provided in <u>Article 12</u>, may make recommendations to the Members of the United Nations or to the Security Council or to both on any such questions or matters.

## Article 11

1. The General Assembly may consider the general principles of cooperation in the maintenance of international peace and security, including the principles governing disarmament and the regulation of armaments, and may make recommendations with regard to such principles to the Members or to the Security Council or to both.

2. The General Assembly may discuss any questions relating to the maintenance of inter- national peace and security brought before it by any Member of the United Nations, or by the Security Council, or by a state which is not a Member of the United Nations in accordance with <u>Article 35</u>, paragraph 2, and, except as provided in <u>Article 12</u>, may make recommendations with regard to any such questions to the state or states concerned or to the Security Council or to both. Any such question on which action is necessary shall be referred to the Security Council by the General Assembly either before or after discussion.

3. The General Assembly may call the attention of the Security Council to situations which are likely to endanger international peace and security. 4. The powers of the General Assembly set forth in this Article shall not limit the general scope of <u>Article 10</u>.

## Article 12

1. While the Security Council is exercising in respect of any dispute or situation the functions assigned to it in the present Charter, the General Assembly shall not make any recommendation with regard to that dispute or situation unless the Security Council so requests.

2. The Secretary-General, with the consent of the Security Council, shall notify the General Assembly at each session of any matters relative to the maintenance of international peace and security which are being dealt with by the Security Council and similarly notify the General Assembly, or the Members of the United Nations if the General Assembly is not in session, immediately the Security Council ceases to deal with such matters.

## Article 13

1. The General Assembly shall initiate studies and make recommendations for the purpose of:

a. promoting international co-operation in the political field and encouraging the progressive development of international law and its codification;

b. promoting international co-operation in the economic, social, cultural, educational, and health fields, an assisting in the realization of human rights and fundamental freedoms for all without distinction as to race, sex, language, or religion.

2. The further responsibilities, functions and powers of the General with respect to matters mentioned in paragraph ) above are set forth in Chapters IX and  $\underline{X}$ .

## Article 14

Subject to the provisions of <u>Article 12</u>, the General Assembly may recommend measures for the peaceful adjustment of any situation, regardless of origin, which it deems likely to impair the general welfare or friendly relations among nations, including situations resulting from a violation of the provisions of the present Charter setting forth the Purposes and Principles of the United Nations.

#### Article 15

1. The General Assembly shall receive and consider annual and special reports from the Security Council; these reports shall include an account of the measures that the Security Council has decided upon or taken to main- tain international peace and security.

2. The General Assembly shall receive and consider reports from the other organs of the United Nations.

#### Article 16

The General Assembly shall perform such functions with respect to the international trusteeship system as are assigned to it under Chapters  $\underline{XII}$  and  $\underline{XIII}$ , including the approval of the trusteeship agreements for areas not designated as strategic.

#### Article 17

1. The General Assembly shall consider and approve the budget of the Organization.

2. The expenses of the Organization shall be borne by the Members as apportioned by the General Assembly.

3. The Assembly shall consider and approve any financial and budgetary arrangements with specialize agencies referred to in <u>Article 57</u> and shall examine the administrative budgets of such specialized agencies with a view to making recommendations to the agencies concerned.

#### Voting

#### Article 18

1. Each member of the General Assembly shall have one vote.

2. Decisions of the General Assembly on important questions shall be made by a two-thirds majority of the members present and voting. These questions shall include: recommendations with respect to the maintenance of international peace and security, the election of the nonpermanent members of the Security Council, the election of the members of the Economic and Social Council, the election of members of the Trusteeship Council in accordance with paragraph 1 of Article 86, the admission of new Members to the United Nations, the suspension of the rights and privileges of membership, the expulsion of Members, questions relating to the operation of the trusteeship system, and budgetary questions. 3. Decisions on other questions, including the determination of additional categories of questions to be decided by a two-thirds majority, shall be made by a majority of the members present and voting.

#### Article 19

A Member of the United Nations which is in arrears in the payment of its financial contributions to the Organization shall have no vote in the General Assembly if the amount of its arrears equals or exceeds the amount of the contributions due from it for the preceding two full years. The General Assembly may, nevertheless, permit such a Member to vote if it is satisfied that the failure to pay is due to conditions beyond the of the Member.

## Procedure

#### Article 20

The General Assembly shall meet in regular annual sessions and in such special sessions as occasion may require. Special sessions shall be convoked by the Secretary-General at the request of the Security Council or of a majority of the Members of the United Nations.

#### Article 21

The General Assembly shall adopt its own rules of procedure. It shall elect its President for each session.

#### Article 22

The General Assembly may establish such subsidiary organs as it deems necessary for the performance of its functions.

#### **CHAPTER V. THE SECURITY COUNCIL**

#### Composition

#### Article 23

1. The Security Council shall consist of fifteen Members of the United Nations. The Republic of China, France, the Union of Soviet Socialist, the United Kingdom of Great Britain and Northern Ireland, and the United States of America shall be permanent members of the Security Council. The General Assembly shall elect ten other Members of the United Nations to be non-permanent members of the Security Council, due regard being specially paid, in the first in- stance to the contribution of Members of the United Nations to the maintenance of international peace and security and to the other purposes of the Organization, and also to equitable geographical distribution.

2. The non-permanent members of the Security Council shall be elected for a term of two years. In the first election of the non- permanent members after the increase of the membership of the Security Council from eleven to fifteen, two of the four additional members shall be chosen for a term of one year. A retiring member shall not be eligible for immediate reelection.

3. Each member of the Security Council shall have one representative.

## **Functions and Powers**

#### Article 24

1. In order to ensure prompt and effective action by the United Nations, its Members confer on the Security Council primary responsibility for the maintenance of international peace and security, and agree that in carrying out its duties under this responsibility the Security Council acts on their behalf.

2. In discharging these duties the Security Council shall act in accordance with the Purposes and Principles of the United Nations. The specific powers granted to the Security Council for the discharge of these duties are laid down in Chapters <u>VI</u>, <u>VII</u>, <u>VIII</u>, and <u>XII</u>.

3. The Security Council shall submit annual and, when necessary, special reports to the General Assembly for its consideration.

#### Article 25

The Members of the United Nations agree to accept and carry out the decisions of the Security Council in accordance with the present Charter.

#### Article 26

In order to promote the establishment and maintenance of international peace and security with the least diversion for armaments of the world's human and economic resources, the Security Council shall be responsible for formulating, with the assistance of the Military Staff Committee referred to in <u>Article 47</u>, plans to be submitted to the Members

of the United-Nations for the establishment of a system for the regulation of armaments.

## Voting

#### Article 27

1. Each member of the Security Council shall have one vote.

2. Decisions of the Security Council on procedural matters shall be made by an affirmative vote of nine members.

3. Decisions of the Security Council on all other matters shall be made by an affirmative vote of nine members including the concurring votes of the permanent members; provided that, in decisions under <u>Chapter</u> <u>VI</u>, and under paragraph 3 of <u>Article 52</u>, a party to a dispute shall abstain from voting.

## Procedure

## Article 28

1. The Security Council shall be so organized as to be able to function continuously. Each member of the Security Council shall for this purpose be represented at times at the seat of the Organization.

2. The Security Council shall hold meetings at which each of its members may, if it so desires, be represented by a member of the government or by some other specially designated representative.

3. The Security Council may hold meetings at such places other than the seat of the Organization as in its judgment will best facilitate its work.

#### Article 29

The Security Council may establish such subsidiary organs as it deems necessary for the performance of its functions.

#### Article 30

The Security Council shall adopt its own rules of procedure, including the method of selecting its President.

#### Article 31

Any Member of the United Nations which is not a member of the Security Council may participate, without vote, in the discussion of any

question brought before the Security Council whenever the latter considers that the interests of that Member are specially affected.

#### Article 32

Any Member of the United Nations which is not a member of the Security Council or any state which is not a Member of the United Nations, if it is a party to a dispute under consideration by the Security Council, shall be invited to participate, without vote, in the discussion relating to the dispute. The Security Council shall any down such conditions as it deems just for the participation of a state which is not a Member of the United Nations.

## **CHAPTER VI. PACIFIC SETTLEMENT OF DISPUTES**

#### Article 33

1. The parties to any dispute, the continuance of which is likely to endanger the maintenance of international peace and security, shall, first of a, seek a solution by negotiation, enquiry, mediation, conciliation, arbitration, judicial settlement, resort to regional agencies or arrangements, or other peaceful means of their own choice.

2. The Security Council shall, when it deems necessary, call upon the parties to settle their dispute by such means.

## Article 34

The Security Council may investigate any dispute, or any situation which might lead to international friction or give rise to a dispute, in order to determine whether the continuance of the dispute or situation is likely to endanger the maintenance of international peace and security.

## Article 35

l. Any Member of the United Nations may bring any dispute, or any situation of the nature referred to in <u>Article 34</u>, to the attention of the Security Council or of the General Assembly.

2. A state which is not a Member of the United Nations may bring to the attention of the Security Council or of the General Assembly any dispute to which it is a party if it accepts in advance, for the purposes of the dispute, the obligations of pacific settlement provided in the present Charter. 3. The proceedings of the General Assembly in respect of matters brought to its attention under this Article will be subject to the provisions of Articles  $\underline{11}$  and  $\underline{12}$ .

## Article 36

1. The Security Council may, at any stage of a dispute of the nature referred to in Article 33 or of a situation of like nature, recommend appropriate procedures or methods of adjustment.

2. The Security Council should take into consideration any procedures for the settlement of the dispute which have already been adopted by the parties.

3. In making recommendations under this Article the Security Council should also take into consideration that legal disputes should as a general rule be referred by the parties to the International Court of Justice in accordance with the provisions of the Statute of the Court.

#### Article 37

1. Should the parties to a dispute of the nature referred to in <u>Article</u> <u>33</u> fail to settle it by the means indicated in that Article, they shall refer it to the Security Council.

2. If the Security Council deems that the continuance of the dispute is in fact likely to endanger the maintenance of international peace and security, it shall decide whether to take action under Article 36 or to recommend such terms of settlement as it may consider appropriate.

#### Article 38

Without prejudice to the provisions of Articles  $\underline{33}$  to  $\underline{37}$ , the Security Council may, if all the parties to any dispute so request, make recommendations to the parties with a view to a pacific settlement of the dispute.

## CHAPTER VII. ACTION WITH RESPECT TO THREATS TO THE PEACE, BREACHES OF THE PEACE, AND ACTS OF AGGRESSION

#### Article 39

The Security Council shall determine the existence of any threat to the peace, breach of the peace, or act of aggression and shall make recommendations, or decide what measures shall be taken in accordance with Articles  $\underline{4}$  and  $\underline{42}$ , to maintain or restore international peace and security.

## Article 40

In order to prevent an aggravation of the situation, the Security Council may, before making the recommendations or deciding upon the measures provided for in <u>Article 39</u>, call upon the parties concerned to comply with such provisional measures as it deems necessary or desirable. Such provisional measures shall be without prejudice to the rights, claims, or position of the parties concerned. The Security Council shall duly take account of failure to comply with such provisional measures.

## Article 41

The Security Council may decide what measures not involving the use of armed force are to be employed to give effect to its decisions, and it may call upon the Members of the United Nations to apply such measures. These may include complete or partial interruption of economic relations and of rail, sea, air, postal, telegraphic, radio, and other means of communication, and the severance of diplomatic relations.

#### Article 42

Should the Security Council consider that measures provided for in <u>Article 41</u> would be inadequate or have proved to be inadequate, it may take such action by air, sea, or land forces as may be necessary to maintain or restore international peace and security. Such action may include demonstrations, blockade, and other operations by air, sea, or land forces of Members of the United Nations.

## Article 43

1. All Members of the United Nations, in order to contribute to the maintenance of international peace and security, undertake to make available to the Security Council, on its and in accordance with a special agreement or agreements, armed forces, assistance, and facilities, including rights of passage, necessary for the purpose of maintaining international peace and security.

2. Such agreement or agreements shall govern the numbers and types of forces, their degree of readiness and general location, and the nature of the facilities and assistance to be provided.

3. The agreement or agreements shall be negotiated as soon as possible on the initiative of the Security Council. They shall be concluded between the Security Council and Members or between the Security Council and groups of Members and shall be subject to ratification by the signatory states in accordance with their respective constitutional processes.

#### Article 44

When Security Council has decided to use force it shall, before calling upon a Member not represented on it to provide armed forces in fulfilment of the obligations assumed under Article 43, invite that Member, if the Member so desires, to participate in the decisions of the Security Council concerning the employment of contingents of that Member's armed forces.

#### Article 45

In order to enable the Nations to take urgent military measures, Members shall hold immediately available national air-force contingents for combined international enforcement action. The strength and degree of readiness of these contingents and plans for their combined action shall be determined, within the limits laid down in the special agreement or agreements referred to in Article 43, by the Security Council with the assistance of the Military Committee.

#### Article 46

Plans for the application of armed force shall be made by the Security Council with the assistance of the Military Staff Committee.

#### Article 47

1. There shall be established a Military Staff Committee to advise and assist the Security Council on questions relating to the Security Council's military requirements for the maintenance of international peace and security, the employment and command of forces placed at its disposal, the regulation of armaments, and possible disarmament.

2. The Military Staff Committee consist of the Chiefs of Staff of the permanent members of the Security Council or their representatives. Any Member of the United Nations not permanently represented on the Committee shall be invited by the Committee to be associated with it when the efficient discharge of the Committee's responsibilities re- quires the participation of that Member its work.

3. The Military Staff Committee be responsible under the Security Council for the strategic direction of any armed forces paced at the disposal of the Security Council. Questions relating to the command of such forces shall be worked out subsequently.

4. The Military Staff Committee, with the authorization of the security Council and after consultation with appropriate regional agencies, may establish sub-commit- tees.

#### Article 48

1. The action required to carry out the decisions of the Security Council for the maintenance of international peace and security shall be taken by all the Members of the United Nations or by some of them, as the Security Council may determine.

2. Such decisions shall be carried out by the Members of the United Nations directly and through their action in the appropriate international agencies of which they are members.

#### Article 49

The Members of the United Nations shall join in affording mutual assistance in carrying out the measures decided upon by the Security Council.

#### Article 50

If preventive or enforcement measures against any state are taken by the Security Council, any other state, whether a Member of the United Nations or not, which finds itself confronted with special economic problems arising from the carrying out of those measures shall have the right to consult the Security Council with regard to a solution of those problems.

#### Article 51

Nothing in the present Charter shall impair the inherent right of individual or collective self-defence if an armed attack occurs against a Member of the United Nations, until the Security Council has taken measures necessary to maintain international peace and security. Measures taken by Members in the exercise of this right of self-defence shall be immediately reported to the Security Council and shall not in any way affect the authority and responsibility of the Security Council under the present Charter to take at any time such action as it deems necessary in order to maintain or restore international peace and security.

## Chapter VIII. REGIONAL ARRANGEMENTS

## Article 52

1. Nothing in the present Charter the existence of regional arrangements or agencies for dealing with such matters relating to the maintenance of international peace and security as are appropriate for regional action, provided that such arrangements or agencies and their activities are consistent with the Purposes and Principles of the United Nations.

2. The Members of the United Nations entering into such arrangements or constituting such agencies shall make every effort to achieve pacific settlement of local disputes through such regional arrangements or by such regional agencies before referring them to the Security Council.

3. The Security Council shall encourage the development of pacific settlement of local disputes through such regional arrangements or by such regional agencies either on the initiative of the states concerned or by reference from the Security Council.

4. This Article in no way the application of Articles 34 and 35.

## Article 53

1. The Security Council shall, where appropriate, utilize such regional arrangements or agencies for enforcement action under its authority. But no enforcement action shall be taken under regional arrangements or by regional agencies without the authorization of the Security Council, with the exception of measures against any enemy state, as defined in paragraph 2 of this Article, provided for pursuant to <u>Article 107</u> or in regional arrangements directed against renewal of aggressive policy on the part of any such state, until such time as the Organization may, on request of the Governments concerned, be charged with the responsibility for preventing further aggression by such a state.

2. The term enemy state as used in paragraph 1 of this Article applies to any state which during the Second World War has been an enemy of any signatory of the present Charter.

#### Article 54

The Security Council shall at all times be kept fully informed of activities undertaken or in contemplation under regional arrangements or by regional agencies for the maintenance of international peace and security.

## CHAPTER IX. INTERNATIONAL ECONOMIC AND SOCIAL CO-OPERATION

#### Article 55

With a view to the creation of conditions of stability and well-being which are necessary for peaceful and friendly relations among nations based on respect for the principle of equal rights and self-determination of peoples, the United Nations shall promote:

a. higher standards of living, full employment, and conditions of economic and social progress and development;

b. solutions of international economic, social, health, and related problems; and international cultural and educational co- operation; and

c. universal respect for, and observance of, human rights and fundamental freedoms for all without distinction as to race, sex, language, or religion.

#### Article 56

All Members pledge themselves to take joint and separate action in cooperation with the Organization for the achievement of the purposes set forth in <u>Article 55</u>.

## Article 57

various specialized 1. The agencies. established by having intergovernmental agreement and wide international responsibilities, as defined in their basic instruments, in economic, social, cultural, educational, health, and related fields, shall be brought into relationship with the United Nations in accordance with the provisions of Article 63.

2. Such agencies thus brought into relationship with the United Nations are hereinafter referred to as specialized agencies.

#### Article 58

The Organization shall make recommendations for the co-ordination of the policies and activities of the specialized agencies.

# Article 59

The Organization shall, where appropriate, initiate negotiations among the states concerned for the creation of any new specialized agencies required for the accomplishment of the purposes set forth in <u>Article 55</u>.

#### Article 60

Responsibility for the discharge of the functions of the Organization set forth in this Chapter shall be vested in the General Assembly and, under the authority of the General Assembly, in the Economic and Social Council, which shall have for this purpose the powers set forth in Chapter X.

#### CHAPTER X. THE ECONOMIC AND SOCIAL COUNCIL

#### Composition

## Article 61

1. The Economic and Social Council shall consist of fifty-four Members of the United Nations elected by the General Assembly.

2. Subject to the provisions of paragraph 3, eighteen members of the Economic and Social Council shall be elected each year for a term of three years. A retiring member shall be eligible for immediate re-election.

3. At the first election after the increase in the membership of the Economic and Social Council from twenty-seven to fifty-four members, in addition to the members elected in place of the nine members whose term of office expires at the end of that year, twenty-seven additional members shall be elected. Of these twenty-seven additional members, the term of office of nine members so elected shall expire at the end of one year, and of nine other members at the end of two years, in accordance with arrangements made by the General Assembly.

4. Each member of the Economic and Social Council shall have one representative.

# **Functions and Powers**

# Article 62

1. The Economic and Social Council may make or initiate studies and reports with respect to international economic, social, cultural, educational, health, and related matters and may make recommendations with respect to any such matters to the General Assembly, to the Members of the United Nations, and to the specialized agencies concerned.

2. It may make recommendations for the purpose of promoting respect for, and observance of, human rights and fundamental freedoms for all.

3. It may prepare draft conventions for submission to the General Assembly, with respect to matters falling within its competence.

4. It may call, in accordance with the rules prescribed by the United Nations, international conferences on matters falling within its competence.

# Article 63

1. The Economic and Social Council may enter into agreements with any of the agencies referred to in <u>Article 57</u>, defining the terms on which the agency concerned shall be brought into relationship with the United Nations. Such agreements shall be subject to approval by the General Assembly.

2. It may co-ordinate the activities of the specialized agencies through consultation with and recommendations to such agencies and through recommendations to the General Assembly and to the Members of the United Nations.

## Article 64

1. The Economic and Social Council may take appropriate steps to obtain regular re- ports from the specialized agencies. may make arrangements with the Members of the United Nations and with the specialized agencies to obtain reports on the steps taken to give effect to its own recommendations and to recommendations on matters falling within its competence made by the General Assembly.

2. It may communicate its observations on these reports to the General Assembly.

## Article 65

The Economic and Social Council may furnish information to the Security Council and shall assist the Security Council upon its request.

## Article 66

1. The Economic and Social Council shall perform such functions as fall within its competence in connexion with the carrying out of the recommendations of the General Assembly.

2. It may, with the approval of the General Assembly, perform services at the request of Members of the United Nations and at the request of specialized agencies.

3. It shall perform such other functions as are specified elsewhere in the present Charter or as may be assigned to it by the General Assembly.

## Voting

# Article 67

1. Each member of the Economic and Social Council shall have one vote.

2. Decisions of the Economic and Social Council shall be made by a majority of the members present and voting.

## Procedure

#### Article 68

The Economic and Social Council shall set up commissions in economic and social fields and for the promotion of human rights, and such other commissions as may be required for the performance of its functions.

#### Article 69

The Economic and Social Council shall invite any Member of the United Nations to participate, without vote, in its deliberations on any matter of particular concern to that Member.

#### Article 70

The Economic and Social Council may make arrangements for representatives of the specialized agencies to participate, without vote, in its deliberations and in those of the commissions established by it, and for its representatives to participate in the deliberations of the specialized agencies.

# Article 71

The Economic and Social Council may make suitable arrangements for consultation with non-governmental organizations which are concerned with matters within its competence. Such arrangements may be made with international organizations and, where appropriate, with national organizations after consultation with the Member of the United Nations concerned.

# Article 72

1. The Economic and Social Council shall adopt its own rules of procedure, including the method of selecting its President.

2. The Economic and Social Council shall meet as required in accordance with its rules, which shall include provision for the convening of meetings on the request of a majority of its members.

# CHAPTER XI. DECLARATION REGARDING NON-SELF-GOVERNING TERRITORIES

# Article 73

Members of the United Nations which have or assume responsibilities for the administration of territories whose peoples have not yet attained a full measure of self-government recognize the principle that the interests of the inhabitants of these territories are paramount, and accept as a sacred trust the obligation to promote to the utmost, within the system of international peace and security established by the present Charter, the well- being of the inhabitants of these territories, and, to this end:

a. to ensure, with due respect for the culture of the peoples concerned, their political, economic, social, and educational advancement, their just treatment, and their protection against abuses;

b. to develop self-government, to take due account of the political aspirations of the peoples, and to assist them in the progressive development of their free political institutions, according to the particular circumstances of each territory and its peoples and their varying stages of advancement;

c. to further international peace and security;

d. to promote constructive measures of development, to encourage research, and to co-operate with one another and, when and where appropriate, with specialized international bodies with a view to the practical achievement of the social, economic, and scientific purposes set forth in this Article; and

e. to transmit regularly to the Secretary-General for information purposes, subject to such limitation as security and constitutional considerations may require, statistical and other information of a technical nature relating to economic, social, and educational conditions in the territories for which they are respectively responsible other than those territories to which Chapters <u>XII</u> and <u>XIII</u> apply.

# Article 74

Members of the United Nations also agree that their policy in respect of the territories to which this Chapter applies, no less than in respect of their metropolitan areas, must be based on the general principle of goodneigh-bourliness, due account being taken of the interests and well-being of the rest of the world, in social, economic, and commercial matters.

# CHAPTER XII. INTERNATIONAL TRUSTEESHIP SYSTEM

# Article 75

The United Nations shall establish under its authority an international trusteeship system for the administration and supervision of such territories as may be placed thereunder by subsequent individual agreements. These territories are hereinafter referred to as trust territories.

# Article 76

The basic objectives of the trusteeship system, in accordance with the Purposes of the United Nations laid down in <u>Article 1</u> of the present Charter, shall be:

a. to further international peace and security;

b. to promote the political, economic, social, and educational advancement of the inhabitants of the trust territories, and their progressive development towards self-government or independence as may be appropriate to the particular circumstances of each territory and its peoples and the freely expressed wishes of the peoples concerned, and as may be provided by the terms of each trusteeship agreement; c. to encourage respect for human rights and for fundamental freedoms for all without distinction as to race, sex, language, or religion, and to encourage recognition of the interdependence of the peoples of the world; and

d. to ensure equal treatment in social, economic, and commercial matters for all Members of the United Nations and their nationals, and also equal treatment for the latter in the administration of justice, without prejudice to the attainment of the foregoing objectives and subject to the provisions of <u>Article 80</u>.

# Article 77

1. The trusteeship system shall apply to such territories in the following categories as may be placed thereunder by means of trusteeship agreements:

a. territories now held under mandate;

b. territories which may be detached from enemy states as a result of the Second World War; and

c. territories voluntarily placed under the system by states responsible for their administration.

2. It will be a matter for subsequent agreement as to which territories in the foregoing categories will be brought under the trustee- ship system and upon what terms.

#### Article 78

The trusteeship system shall not apply to territories which have become Members of the United Nations, relationship among which shall be based on respect for the principle of sovereign equality.

#### Article 79

The terms of trusteeship for each territory to be placed under the trusteeship system, including any alteration or amendment, shall be agreed upon by the states directly concerned, including the mandatory power in the case of territories held under mandate by a Member of the United Nations, and shall be approved as provided for in Articles <u>83</u> and <u>85</u>.

#### Article 80

1. Except as may be agreed upon in individual trusteeship agreements, made under Articles <u>77</u>, <u>79</u>, and <u>81</u>, placing each territory

under the trusteeship system, and until such agreements have been concluded, nothing in this Chapter shall be construed in or of itself to alter in any manner the rights whatsoever of any states or any peoples or the terms of existing international instruments to which Members of the United Nations may respectively be parties.

2. Paragraph 1 of this Article shall not be interpreted as giving grounds for delay or postponement of the negotiation and conclusion of agreements for placing mandated and other territories under the trusteeship system as provided for in <u>Article 77</u>.

# Article 81

The trusteeship agreement shall in each case include the terms under which the trust territory will be administered and designate the authority which will exercise the administration of the trust territory. Such authority, hereinafter called the administering authority, may be one or more states or the Organization itself.

#### Article 82

There may be designated, in any trusteeship agreement, a strategic area or areas which may include part or all of the trust territory to which the agreement applies, without prejudice to any special agreement or agreements made under <u>Article 43</u>.

#### Article 83

1. All functions of the United Nations relating to strategic areas, including the approval of the terms of the trusteeship agreements and of their alteration or amendment, shall be exercised by the Security Council.

2. he basic objectives set forth in <u>Article 76</u> shall be applicable to the people of each strategic area.

3. The Security Council shall, subject to the provisions of the trusteeship agreements and without prejudice to security considerations, avail itself of the assistance of the Trusteeship Council to perform those functions of the United Nations under the trusteeship system relating to political, economic, social, and educational matters in the strategic areas.

#### Article 84

It shall be the duty of the administering authority to ensure that the trust territory shall play its part in the maintenance of international peace

and security. To this end the administering authority may make use of volunteer forces, facilities, and assistance from the trust territory in carrying out the obligations towards the Security Council undertaken in this regard by the administering authority, as well as for local defence and the maintenance of law and order within the trust territory.

## Article 85

1. The functions of the United Nations with regard to trusteeship agreements for all areas not designated as strategic, including the approval of the terms of the trusteeship agreements and of their alteration or amendment, shall be exercised by the General Assembly.

2. The Trusteeship Council, operating under the authority of the General Assembly, shall assist the General Assembly in carrying out these functions.

# CHAPTER XIII. THE TRUSTEESHIP COUNCIL

#### Composition

#### Article 86

1. The Trusteeship Council shall consist of the following Members of the United Nations:

a. those Members administering trust territories;

b. such of those Members mentioned by name in Article 23 as are not administering trust territories; and

c. as many other Members elected for three-year terms by the General Assembly as may be necessary to ensure that the total number of members of the Trusteeship Council is equally divided between those Members of the United Nations which ad- minister trust territories and those which do not.

2. Each member of the Trusteeship Council shall designate one specially qualified person to represent it therein.

#### **Functions and Powers**

#### Article 87

The General Assembly and, under its authority, the Trusteeship Council, in carrying out their functions, may:

a. consider reports submitted by the ad- ministering authority;

b. accept petitions and examine them in consultation with the administering authority;

c. provide for periodic visits to the respective trust territories at times agreed upon with the administering authority; and

d. take these and other actions in conformity with the terms of the trusteeship agreements.

## Article 88

The Trusteeship Council shall formulate a questionnaire on the political, economic, social, and educational advancement of the inhabitants of each trust territory, and the administering authority for each trust territory within the competence of the General Assembly shall make an annual report to the General Assembly upon the basis of such questionnaire.

# Voting

## Article 89

1. Each member of the Trusteeship Council shall have one vote.

2. Decisions of the Trusteeship Council shall be made by a majority of the members present and voting.

Procedure

## Article 90

1. The Trusteeship Council shall adopt its own rules of procedure, including the method of selecting its President.

2. The Trusteeship Council shall meet as required in accordance with its rules, which shall include provision for the convening of meetings on the request of a majority of its members.

# Article 91

The Trusteeship Council shall, when appropriate, avail itself of the assistance of the Economic and Social Council and of the specialized agencies in regard to matters with which they are respectively concerned.

## CHAPTER XIV. THE INTERNATIONAL COURT OF JUSTICE

# Article 92

The International Court of Justice shall be the principal judicial organ of the United Nations. It shall function in accordance with the annexed Statute, which is based upon the Statute of the Permanent Court of International Justice and forms an integral part of the present Charter.

#### Article 93

1. All Members of the United Nations are facto parties to the Statute of the International Court of Justice.

2. A state which is not of the United Nations may become a party to the Statute of the International Court of Justice on to be determined in each case by the General Assembly upon the recommendation of the Security Council.

#### Article 94

1. Each Member of the United Nations undertakes to comply with the decision of the International Court of Justice in any case to which it is a party.

2. If any party to a case fails to perform the obligations incumbent upon it under a judgment rendered by the Court, the other party may have recourse to the Security Council, which may, if it deems necessary, make recommendations or decide upon measures to be taken to give to the judgment.

#### Article 95

Nothing in the present Charter shall prevent Members of the United Nations from entrusting the solution of their differences to other tribunals by virtue of agreements already in existence or which may be concluded in the future.

## Article 96

1. The General Assembly or the Security Council may request the International Court of Justice to give an advisory opinion on any legal question.

2. Other organs of the United Nations and specialized agencies, which may at any time be so authorized by the General Assembly, may

also request advisory opinions of the Court on legal questions arising within the scope of their activities.

# **CHAPTER XV. THE SECRETARIAT**

# Article 97

The Secretariat shall comprise a Secretary- General and such staff as the Organization may require. The Secretary-General shall be appointed by the General Assembly upon the recommendation of the Security Council. He shall be the chief administrative officer of the Organization.

# Article 98

The Secretary-General shall act in that capacity in all meetings of the General Assembly, of the Security Council, of the Economic and Social Council, and of the Trusteeship Council, and shall perform such other functions as are entrusted to him by these organs. The Secretary-General shall make an annual report to the General Assembly on the work of the Organization.

# Article 99

The Secretary-General may bring to the attention of the Security Council any matter which in his opinion may threaten the maintenance of international peace and security.

# Article 100

1. In the performance of their duties the Secretary-General and the staff shall not seek or receive instructions from any government or from any other authority externa to the Organization. They shall refrain from any action which might on their position as international officials responsible only to the Organization.

2. Each Member of the United Nations undertakes to respect the exclusively international character of the responsibilities of the Secretary-General and the staff and not to seek to influence them in the discharge of their responsibilities.

# Article 101

1. The staff shall be appointed by the Secretary-General under regulations established by the General Assembly.

2. Appropriate staffs shall be permanently assigned to the Economic and Social Council, the Trusteeship Council, and, as required, to other organs of the United Nations. These staffs shall form a part of the Secretariat.

3. The paramount consideration in the employment of the staff and in the determination of the conditions of service shall be the necessity of securing the highest standards of efficiency, competence, and integrity. Due regard shall be paid to the importance of recruiting the staff on as wide a geographical basis as possible.

# CHAPTER XVI. MISCELLANEOUS PROVISIONS

## Article 102

1. Every treaty and every international agreement entered into by any Member of the United Nations after the present Charter comes into force shall as soon as possible be registered with the Secretariat and published by it.

2. No party to any such treaty or international agreement which has not been registered in accordance with the provisions of paragraph I of this Article may invoke that treaty or agreement before any organ of the United Nations.

## Article 103

In the event of a conflict between the obligations of the Members of the United Nations under the present Charter and their obligations under any other international agreement, their obligations under the present Charter shall prevail.

#### Article 104

The Organization shall enjoy in the territory of each of its Members such legal capacity as may be necessary for the exercise of its functions and the fulfilment of its purposes.

#### Article 105

1. The Organization shall enjoy in the territory of each of its Members such privileges and immunities as are necessary for the fulfilment of its purposes.

2. Representatives of the Members of the United Nations and officials of the Organization shall similarly enjoy such privileges and

immunities as are necessary for the independent exercise of their functions in connexion with the Organization.

3. The General Assembly may make recommendations with a view to determining the details of the application of paragraphs 1 and 2 of this Article or may propose conventions to the Members of the United Nations for this purpose.

# CHAPTER XVII. TRANSITIONAL SECURITY ARRANGEMENTS Article 106

Pending the coming into force of such special agreements referred to in Article 43 as in the opinion of the Security Council enable it to begin the exercise of its responsibilities under Article 42, the parties to the Four-Nation Declaration, signed at Moscow, 30 October 1943, and France, shall, in accordance with the provisions of paragraph 5 of that Declaration, consult with one another and as occasion requires with other Members of the United Nations with a view to such joint action on behalf of the Organization as may be necessary for the purpose of maintaining international peace and security.

#### Article 107

Nothing in the present Charter shall invalidate or preclude action, in relation to any state which during the Second World War has been an enemy of any signatory to the present Charter, taken or authorized as a result of that war by the Governments having responsibility for such action.

# CHAPTER XVIII. AMENDMENTS

#### Article 108

Amendments to the present Charter shall come into force for all Members of the United Nations when they have been adopted by a vote of two thirds of the members of the General Assembly and ratified in accordance with their respective constitutional processes by two thirds of the Members of the United Nations, including all the permanent members of the Security Council.

#### Article 109

1. A General Conference of the Members of the United Nations for the purpose of reviewing the present Charter may be held at a date and place to be fixed by a two-thirds vote of the members of the General Assembly and by a vote of any nine members of the Security Council. Each Member of the United Nations shall have one vote in the conference.

2. Any alteration of the present Charter recommended by a twothirds vote of the conference shall take effect when ratified in accordance with their respective constitutional processes by two thirds of the Members of the United Nations including the permanent members of the Security Council.

3. If such a conference has not been held before the tenth annual session of the General Assembly following the coming into force of the present Charter, the proposal to call such a conference shall be placed on the agenda of that session of the General Assembly, and the conference shall be held if so decided by a majority vote of the members of the General Assembly and by a vote of any seven members of the Security Council.

## CHAPTER XIX. RATIFICATION AND SIGNATURE

#### Article 110

1. The present Charter shall be ratified by the signatory states in accordance with their respective constitutional processes.

2. The shall be deposited with the Government of the Unite States of America, which shall notify a the signatory states of each deposit as well as the Secretary-General of the Organization when he has been appointed.

3. The present Charter shall come into force upon the deposit of by the Republic of China, France, the Union of Soviet Socialist, the United Kingdom of Great Britain and Northern Ireland, and the United States of America, and by a majority of the other signatory states. A protocol of the deposited shall thereupon be drawn up by the Government of the United States of America which shall communicate copies thereof to all the signatory states.

4. The states signatory to the present Chartar which ratify it after it has come into force will become original Members of the United Nations on the date of the deposit of their respective ratifications.

## Article 111

The present Charter, of which the Chinese, French, Russian, English, and Spanish texts are equally authentic, shall remain deposited in the archives of the Government of the United States of America. Duly certified copies thereof shall be transmitted by that Government to the Governments of the other signatory states.

IN FAITH WHEREOF the representatives of the Governments of the United Nations have signed the present Charter.

DONE at the city of San Francisco the twenty-sixth day of June, one thousand nine hundred and forty-five.

# Вопросы для самоконтроля:

1. Перечислите основные положения «Декларации об освобожденной Европе».

2. Какие решения были приняты в отношении послевоенной Германии ?

3. Охарактеризуйте подходы сторон к «польскому вопросу».

4. Решения по Югославии и Юго-Восточной Европе, включая проблему проливов – что Вам показалось наиболее интересным ?

5. Перечислите решения в отношении Японии и «японского наследства».

6. Решения Ялтинской конференции по вопросу о создании международной организации.

7. Место Устава ООН в системе международных нормативноправовых актов.

8. Какова структура документа?

9. Цели и принципы ООН.

10. Как осуществляется приём в члены организации и исключение из неё?

11. Состав, функции и полномочия Генеральной Ассамблеи ООН.

12. Состав, функции и полномочия Совета Безопасности ООН.

13. Способы мирного разрешения споров.

14. Полномочия Военно-Штабного Комитета.

15. Состав, функции и полномочия Экономического и Социального Совета.

16. Состав, функции и полномочия Совета по опеке.

17. Процедура голосования в различных органах ООН.

# Темы презентаций:

1. Значение Ялтинской конференции для судеб Европы и мира.

2. Решения Ялтинской конференции – это политика по разделу сфер влияния и сохранению послевоенного «статус кво» или попытка сформировать новый, более справедливый и сбалансированный миропорядок?

3. Реакция американской политической элиты на решения Ялтинской конференции. Аргументы «за» и «против».

4. Какие цели – открыто декларируемые и скрытые – при создании ООН преследовали элиты США, Великобритании и СССР?

5. Право «вето» у постоянных членов Совета Безопасности – это инструмент компромисса или дестабилизации и политического «тупика»?

6. В чем на сегодняшний день проявляется неэффективность и институциональная ограниченность ООН ?

# Темы рефератов:

1. Внешняя политика США и Великобритании на завершающем этапе WWII. Сравнительный анализ.

2. Европейское направление внешней политики США в период WWII и в первые послевоенные годы.

3. Внутриполитическая борьба в США по вопросам внешней политики. Изоляционисты против интервенционистов.

4. Создание ООН и национальные интересы США.

# ГЛАВА 2. ВНЕШНЯЯ ПОЛИТИКА АДМИНИСТРАЦИИ Г. ТРУМЭНА (1945–1948) (1 часть)

После смерти Ф. Рузвельта 12 апреля 1945 г. пост президента США занял вице-президент Г. Трумэн. Довольно быстро происходят изменения во внешней политике США, которые можно охарактеризовать как курс на свертывание сотрудничества с СССР и утверждение американской гегемонии по всему миру. СССР и США начинают противостоять друг другу не только идеологически, но геополитически.

Несмотря на участие СССР в войне против Японии и понесенные жертвы в боях с Квантунской армией в Маньчжурии, США сделали все, чтобы не допустить СССР к определению будущего этой страны. 6 сентября 1945 г. был принят документ, который лег в основу политики США в отношении к послевоенной Японии – *Harry S. Truman: Statement of Policy on Post-War Japan*.

Документ является классическим примером влияния США на процесс формирования политической системы, социальноэкономических институтов другой страны. Пользуясь правом победителя в войне, США постарались утвердить в Японии политическую систему, основанную на западных, либеральнодемократических принципах.

Происходит отказ от «Доктрины Монро», на смену которой приходит «Доктрина Трумэна», которая объявила сферой национальных интересов не только Западное полушарие, но и другие континенты.

В результате Второй мировой войны позиции традиционных союзников США – Франции и Великобритании – в ряде регионов мира оказались ослабленными, и возникла опасность, что образовавшийся вакуум будет заполнен влиянием СССР и левых, включая коммунистических, партий. Именно левые силы, находясь в авангарде антифашистской борьбы, стали претендовать на власть в ряде стран мира.

Стремясь этого не допустить, Франция и Великобритания обратились за помощью к США, и 12 марта 1947 г. президент Г. Трумэн обратился с речью к Конгрессу, в которой обосновал необходимость выделения помощи Греции и Турции. Эта помощь должна была стать гарантией недопущения прихода к власти недружественных Западу политических сил. Поддержав президента и выделив 400 млн. долларов, как было сказано, «во имя грекотурецких свобод», конгресс США институализировал новую доктрину. См. *Harry S. Truman: Address Before a Joint Session* of Congress, (March 1947).

Политическое проникновение необходимо было поддержать экономически, и в результате появляется «План Маршалла», названный так по имени Государственного секретаря США Джорджа Маршалла, озвученный им 5 июня 1947 г. на выпускной церемонии Гарвардского университета. План получил название «Программа восстановления и развития Европы». См. George Marshall: "The Marshall Plan"(1947).

Для столь радикального изменения внешнеполитического курса были нужны весомые аргументы. Было не просто объяснить американской общественности, почему после победы над врагом необходимо брать на себя глобальную ответственность и нести соответствующие расходы, а вчерашний союзник, с которым вместе сражались против общего врага и успешно решали многие международные вопросы, в том числе в Ялте и Потсдаме, оказался врагом, против которого необходимо наращивать «военные мускулы».

Политика США по демонизации СССР должна была опираться на солидную теоретическую основу и таким обоснованием стала статья высокопоставленного чиновника Государственного Департамента, специалиста по России Джорджа Кеннана. Статья вышла в журнале Foreign Affairs под названием «Истоки советского поведения». См. *George Kennan: "The Sources of Soviet Conduct"*.



Гарри Трумэн

В данной главе представлены следующие документы:

Harry S. Truman: Statement of Policy on Post-War Japan (1945) George Marshall: "The Marshall Plan" (1947) George Kennan: "The Sources of Soviet Conduct" (1947) Harry S. Truman: Address Before a Joint Session of Congress, (March 1947)

# Harry S. Truman: Statement of Policy on Post-War Japan (6.09.1945)

Документ под названием «Первоначальная политика США в отношении Японии после капитуляции» был разработан Координационным комитетом государства, войны и флота и принят этим комитетом 29 августа 1945 года. Он был одобрен президентом 6 сентября и опубликован в СМИ 22 сентября.

В документе были сформулированы две основные цели политики США в отношении Японии: гарантировать, что Япония больше не станет угрозой для безопасности мира, и Соединенных Штатов – в частности; добиться в конечном итоге создания мирного и ответственного правительства в Японии, которое будет уважать права других государств и будет поддерживать цели Соединенных Штатов, отраженные в идеалах и принципах Устава Организации Объединенных Наций.

Документ закреплял четыре основных направления политики в отношении Японии: территория, разоружение, демократия, экономика.

## **U.S. INITIAL POST-SURRENDER POLICY FOR JAPAN**

Purpose of this Document

This document is a statement of general initial policy relating to Japan after surrender. It has been approved by the President and distributed to the Supreme Commander for the Allied Powers and to appropriate U.S. departments and agencies for their guidance. It does not deal with all matters relating to the occupation of Japan requiring policy determinations. Such matters as are not included or are not fully covered herein have been or will be dealt with separately.

# **PART 1 – Ultimate Objectives**

The ultimate objectives of the United States in regard to Japan, to which policies in the initial period must conform, are:

(a) To insure that Japan will not again become a menace to the United States or to the peace and security of the world.

(b) To bring about the eventual establishment of a peaceful and responsible government which will respect the rights of other states and will support the objectives of the United States as reflected in the ideals and principles of the Charter of the United Nations. The United States desires that this government should conform as closely as may be to principles of democratic self-government but it is not the responsibility of the Allied Powers to impose upon Japan any form of government not supported by the freely expressed will of the people.

These objectives will be achieved by the following principal means:

(a) Japan's sovereignty will be limited to the islands of Honshu, Hokkaido, Kyushu, Shikoku and such minor outlying islands as may be determined, in accordance with the Cairo Declaration and other agreements to which the United States is or may be a party.

(b) Japan will be completely disarmed and demilitarized. The authority of the militarists and the influence of militarism will be totally eliminated from her political, economic, and social life. Institutions expressive of the spirit of militarism and aggression will be vigorously suppressed.

(c) The Japanese people shall be encouraged to develop a desire for individual liberties and respect for fundamental human rights, particularly the freedoms of religion, assembly, speech, and the press. They shall also be encouraged to form democratic and representative organizations.

(d) The Japanese people shall be afforded opportunity to develop for themselves an economy which will permit the peacetime requirements of the population to be met.

# **PART II – Allied Authority**

1. Military Occupation.

There will be a military occupation of the Japanese home islands to carry into effect the surrender terms and further the achievement of the ultimate objectives stated above. The occupation shall have the character of an operation in behalf of the principal allied powers acting in the interests of the United Nations at war with Japan. For that reason, participation of the forces of other nations that have taken a leading part in the war against Japan will be welcomed and expected. The occupation forces will be under the command of a Supreme Commander designated by the United States.

Although every effort will be made, by consultation and by constitution of appropriate advisory bodies, to establish policies for the conduct of the occupation and the control of Japan which will satisfy the principal Allied powers, in the event of any differences of opinion among them, the policies of the United States will govern.

2. Relationship to Japanese Government.

The authority of the Emperor and the Japanese Government will be subject to the Supreme Commander, who will possess all powers necessary to effectuate the surrender terms and to carry out the policies established for the conduct of the occupation and the control of Japan.

In view of the present character of Japanese society and the desire of the United States to attain its objectives with a minimum commitment of its forces and resources, the Supreme Commander will exercise his authority through Japanese governmental machinery and agencies, including the Emperor, to the extent that this satisfactorily furthers United States objectives. The Japanese Government will be permitted, under his instructions, to exercise the normal powers of government in matters of domestic administration. This policy, however, will be subject to the right and duty of the Supreme Commander to require changes in governmental machinery or personnel or to act directly if the Emperor or other Japanese authority does not satisfactorily meet the requirements of the Supreme Commander in effectuating the surrender terms. This policy, moreover, does not commit the Supreme Commander to support the Emperor or any other Japanese governmental authority in opposition to evolutionary changes looking toward the attainment of United States objectives. The policy is to use the existing form of Government in Japan, not to support it. Changes in the form of Government initiated by the Japanese people or government in the direction of modifying its feudal and authoritarian tendencies are to be permitted and favored. In the event that the effectuation of such changes involves the use of force by the Japanese people or government against persons opposed thereto, the Supreme Commander should intervene only where necessary to ensure the security of his forces and the attainment of all other objectives of the occupation.

3. Publicity as to Policies.

The Japanese people, and the world at large, shall be kept fully informed of the objectives and policies of the occupation, and of progress made in their fulfilment.

# PART III – Political

1. Disarmament and Demilitarization.

Disarmament and demilitarization are the primary tasks of the military occupation and shall be carried out promptly and with determination. Every effort shall be made to bring home to the Japanese people the part played by the military and naval leaders, and those who collaborated with them, in bringing about the existing and future distress of the people.

Japan is not to have an army, navy, airforce, secret police organization, or any civil aviation. Japan's ground, air and naval forces shall be disarmed and disbanded and the Japanese Imperial General Headquarters, the General Staff and all secret police organizations shall be dissolved. Military and naval materiel, military and naval vessels and military and naval installations, and military, naval and civilian aircraft shall be surrendered and shall be disposed of as required by the Supreme Commander.

High officials of the Japanese Imperial General Headquarters, and General Staff, other high military and naval officials of the Japanese Government, leaders of ultra-nationalist and militarist organizations and other important exponents of militarism and aggression will be taken into custody and held for future disposition. Persons who have been active exponents of militarism and militant nationalism will be removed and excluded from public office and from any other position of public or substantial private responsibility. Ultra-nationalistic or militaristic social, political, professional and commercial societies and institutions will be dissolved and prohibited.

Militarism and ultra-nationalism, in doctrine and practice, including paramilitary training, shall be eliminated from the educational system. Former career military and naval officers, both commissioned and noncommissioned, and all other exponents of militarism and ultranationalism shall be excluded from supervisory and teaching positions.

2. War Criminals.

Persons charged by the Supreme Commander or appropriate United Nations Agencies with being war criminals, including those charged with having visited cruelties upon United Nations prisoners or other nationals, shall be arrested, tried and, if convicted, punished. Those wanted by another of the United Nations for offenses against its nationals, shall, if not wanted for trial or as witnesses or otherwise by the Supreme Commander, be turned over to the custody of such other nation.

3. Encouragement of Desire for Individual Liberties and Democratic Processes.

Freedom of religious worship shall be proclaimed promptly on occupation. At the same time it should be made plain to the Japanese that ultra-nationalistic and militaristic organizations and movements will not be permitted to hide behind the cloak of religion.

The Japanese people shall be afforded opportunity and encouraged to become familiar with the history, institutions, culture, and the accomplishments of the United States and the other democracies. Association of personnel of the occupation forces with the Japanese population should be controlled, only to the extent necessary, to further the policies and objectives of the occupation.

Democratic political parties, with rights of assembly and public discussion, shall be encouraged, subject to the necessity for maintaining the security of the occupying forces.

Laws, decrees and regulations which establish discriminations on grounds of race, nationality, creed or political opinion shall be abrogated; those which conflict with the objectives and policies outlined in this document shall be repealed, suspended or amended as required; and agencies charged specifically with their enforcement shall be abolished or appropriately modified. Persons unjustly confined by Japanese authority on political grounds shall be released. The judicial, legal and police systems shall be reformed as soon as practicable to conform to the policies set forth in Articles 1 and 3 of this Part III and thereafter shall be progressively influenced, to protect individual liberties and civil rights.

# **PART IV – Economic**

1. Economic Demilitarization.

The existing economic basis of Japanese military strength must be destroyed and not be permitted to revive.

Therefore, a program will be enforced containing the following elements, among others; the immediate cessation and future prohibition of production of all goods designed for the equipment, maintenance, or use of any military force or establishment; the imposition of a ban upon any specialized facilities for the production or repair of implements of war, including naval vessels and all forms of aircraft; the institution of a system of inspection and control over selected elements in Japanese economic activity to prevent concealed or disguised military preparation; the elimination in Japan of those selected industries or branches of production whose chief value to Japan is in preparing for war; the prohibition of specialized research and instruction directed to the development of warmaking power; and the limitation of the size and character of Japan's heavy industries to its future peaceful requirements, and restriction of Japanese merchant shipping to the extent required to accomplish the objectives of demilitarization.

The eventual disposition of those existing production facilities within Japan which are to be eliminated in accord with this program, as between conversion to other uses, transfer abroad, and scrapping will be determined after inventory. Pending decision, facilities readily convertible for civilian production should not be destroyed, except in emergency situations.

2. Promotion of Democratic Forces.

Encouragement shall be given and favor shown to the development of organizations in labor, industry, and agriculture, organized on a democratic basis. Policies shall be favored which permit a wide distribution of income and of the ownership of the means of production and trade.

Those forms of economic activity, organization and leadership shall be favored that are deemed likely to strengthen the peaceful disposition of the Japanese people, and to make it difficult to command or direct economic activity in support of military ends.

To this end it shall be the policy of the Supreme Commander:

(a) To prohibit the retention in or selection for places of importance in the economic field of individuals who do not direct future Japanese economic effort solely towards peaceful ends; and

(b) To favor a program for the dissolution of the large industrial and banking combinations which have exercised control of a great part of Japan's trade and industry.

3. Resumption of Peaceful Economic Activity.

The policies of Japan have brought down upon the people great economic destruction and confronted them with the prospect of economic difficulty and suffering. The plight of Japan is the direct outcome of its own behavior, and the Allies will not undertake the burden of repairing the damage. It can be repaired only if the Japanese people renounce all military aims and apply themselves diligently and with single purpose to the ways of peaceful living. It will be necessary for them to undertake physical reconstruction, deeply to reform the nature and direction of their economic activities and institutions, and to find useful employment for their people along lines adapted to and devoted to peace. The Allies have no intention of imposing conditions which would prevent the accomplishment of these tasks in due time.

Japan will be expected to provide goods and services to meet the needs of the occupying forces to the extent that this can be effected without causing starvation, widespread disease and acute physical distress.

The Japanese authorities will be expected, and if necessary directed, to maintain, develop and enforce programs that serve the following purposes:

(a) To avoid acute economic distress.

(b) To assure just and impartial distribution of available supplies.

(c) To meet the requirements for reparations deliveries agreed upon by the Allied Governments.

(d) To facilitate the restoration of Japanese economy so that the reasonable peaceful requirements of the population can be satisfied.

In this connection, the Japanese authorities on their own responsibility shall be permitted to establish and administer controls over economic activities, including essential national public services, finance, banking, and production and distribution of essential commodities, subject to the approval and review of the Supreme Commander in order to assure their conformity with the objectives of the occupation.

4. Reparations and Restitution.

Reparations. Reparations for Japanese aggression shall be made:

(a) Through the transfer--as may be determined by the appropriate Allied authorities – of Japanese property located outside of the territories to be retained by Japan.

(b) Through the transfer of such goods or existing capital equipment and facilities as are not necessary for a peaceful Japanese economy or the supplying of the occupying forces. Exports other than those directed to be shipped on reparation account or as restitution may be made only to those recipients who agree to provide necessary imports in exchange or agree to pay for such exports in foreign exchange. No form of reparation shall be exacted which will interfere with or prejudice the program for Japan's demilitarization.

Restitution. Full and prompt restitution will be required of all identifiable looted property.

5. Fiscal, Monetary, and Banking Policies.

The Japanese authorities will remain responsible for the management and direction of the domestic fiscal, monetary, and credit policies subject to the approval and review of the Supreme Commander.

6. International Trade and Financial Relations.

Japan shall be permitted eventually to resume normal trade relations with the rest of the world. During occupation and under suitable controls, Japan will be permitted to purchase from foreign countries raw materials and other goods that it may need for peaceful purposes, and to export goods to pay for approved imports.

Control is to be maintained over all imports and exports of goods, and foreign exchange and financial transactions. Both the policies followed in the exercise of these controls and their actual administration shall be subject to the approval and supervision of the Supreme Commander in order to make sure that they are not contrary to the policies of the occupying authorities, and in particular that all foreign purchasing power that Japan may acquire is utilized only for essential needs.

7. Japanese Property Located Abroad.

Existing Japanese external assets and existing Japanese assets located in territories detached from Japan under the terms of surrender, including assets owned in whole or part by the Imperial Household and Government, shall be revealed to the occupying authorities and held for disposition according to the decision of the Allied authorities.

8. Equality of Opportunity for Foreign Enterprise within Japan.

The Japanese authorities shall not give, or permit any Japanese business organization to give, exclusive or preferential opportunity or terms to the enterprise of any foreign country, or cede to such enterprise control of any important branch of economic activity.

9. Imperial Household Property.

Imperial Household property shall not be exempted from any action necessary to carry out the objectives of the occupation.

#### Вопросы для самоконтроля:

1. Какие цели декларируются в отношении побежденной Японии и на основе каких принципов данные цели предполагалось реализовать?

2. Каким образом предполагалось выстраивать отношения с правительством послевоенной Японии?

3. Что включал в себя процесс разоружения и демилитаризации Японии?

4. Как планировалось запустить в стране процесс демократизации?

5. Как определялась судьба японского ВПК?

6. Какие механизмы предусматривалось внедрить для демократизации процессов в социально-экономической сфере ?

7. С помощью каких инструментов предполагалось восстановить японскую экономику?

8. Как регулировался вопрос репараций и реституций ?

9. Какие подходы (принципы) были заложены в основу экономического сотрудничества с иностранными партнерами ?

## Темы презентаций:

• Планы США в отношении послевоенной Японии.

• Институциональное американское влияние на развитие политического строя в Японии.

• США и искоренение японского милитаризма и империализма.

# Темы рефератов:

1. Послевоенное Американское влияние и японские традиции. Перманентный конфликт или синтез двух культур?

2. Восприятие американской оккупации (благо или трагедия) в сознании рядовых японцев с учетом опыта ядерных бомбардировок.

3. Антиамериканизм в Японии: истоки, эволюция, современное состояние.

4. Итоги реализации американских планов в отношении Японии в политике, экономике и культуре.

5. Праворадикальные движения в современной Японии. Идейные истоки реваншизма и милитаризма – американский след?

George Marshall: «The Marshall Plan» (1947)



План Ма́ршалла (*European Recovery Program*, «Программа восстановления Европы») сформулирован в 1947 году Госсекретарём США Джорджем К. Маршаллом. Основные пункты плана были изложены в его речи в Гарвардском университете 5 июня 1947 г. План вступил в действие в 1948 году и предусматривал широкомасштабную экономическую помощь странам Западной Европы. План преследовал и политическую цель – ослабление влияния коммунистов и вытеснение их из органов власти.

I need not tell you gentlemen that the world situation is very serious. That must be apparent to all intelligent people. I think one difficulty is that the problem is one of such enormous complexity that the very mass of facts presented to the public by press and radio make it exceedingly difficult for the man in the street to reach a clear appraisement of the situation. Furthermore, the people of this country are distant from the troubled areas of the earth and it is hard for them to comprehend the plight and consequent reactions of the long-suffering peoples, and the effect of those reactions on their governments in connection with our efforts to promote peace in the world.

In considering the requirements for the rehabilitation of Europe, the physical loss of life, the visible destruction of cities, factories, mines, and railroads was correctly estimated, but it has become obvious during recent months that this visible destruction was probably less serious than the dislocation of the entire fabric of European economy. For the past 10 years conditions have been highly abnormal. The feverish preparation for war and the more feverish maintenance of the war effort engulfed all aspects of national economies. Machinery has fallen into disrepair or is entirely obsolete. Under the arbitrary and destructive Nazi rule, virtually every possible enterprise was geared into the German war machine. Longstanding commercial ties, private institutions, banks, insurance companies, and shipping companies disappeared, through loss of capital, absorption through nationalization, or by simple destruction. In many countries, confidence in the local currency has been severely shaken. The breakdown of the business structure of Europe during the war was complete. Recovery has been seriously retarded by the fact that two years after the close of hostilities a peace settlement with Germany and Austria has not been agreed upon. But even given a more prompt solution of these difficult problems, the rehabilitation of the economic structure of Europe quite evidently will require a much longer time and greater effort than bad been foreseen.

There is a phase of this matter which is both interesting and serious. The farmer has always produced the foodstuffs to exchange with the city dweller for the other necessities of life. This division of labor is the basis of modern civilization. At the present time it is threatened with breakdown. The town and city industries are not producing adequate goods to exchange with the food-producing farmer. Raw materials and fuel are in short supply. Machinery is lacking or worn out. The farmer or the peasant cannot find the goods for sale which he desires to purchase. So the sale of his farm produce for money which lie cannot use seems to him an unprofitable transaction. He, therefore, has withdrawn many fields from crop cultivation and is using them for grazing. He feeds more grain to stock and finds for himself and his family an ample supply of food, however short he may be on clothing and the other ordinary gadgets of civilization. Meanwhile people in the cities are short of food and fuel. So the governments are forced to use their foreign money and credits to procure these necessities abroad. This process exhausts funds which arc urgently needed for, reconstruction. Thus a very serious situation is rapidly developing which bodes no good for the world. The modern system of the division of labor upon which the exchange of products is based is in danger of breaking down.

The truth of the matter is that Europe's requirements for the next three or four years of foreign food and other essential products-principally from America-are so much greater than her present ability to pay that she must have substantial additional help or face economic, social, and political deterioration of a very grave character.

The remedy lies in breaking the vicious circle and restoring the confidence of the European people I n the economic future of their own countries and of Europe as a whole. The manufacturer and the farmer throughout wide areas must be able and willing to exchange their products for currencies the continuing value of which is not open to question.

Aside from the demoralizing effect on the world at large and the possibilities of disturbances arising as a result of the desperation of the people concerned, the consequences to the economy of the United States should be apparent to all. It is logical that the United States should do whatever it is able to do to assist in the return of normal economic health in the world, without which there can be no political stability and no assured peace. Our policy is directed not against any country or doctrine but against hunger, poverty, desperation, and chaos. Its purpose should be the revival of a working economy in the world so as to permit the emergence of political and social conditions in which free institutions can exist. Such assistance, I am convinced, must not be on a piecemeal basis as various crises develop. Any assistance that this Government may render in the future should provide a cure rather than a mere palliative. Any government that is willing to assist in the task of recovery will find full cooperation, I am sure, on the part of the United States Government. Any government which maneuvers to block the recovery of other countries cannot expect help from us. Furthermore, governments, political parties, or groups which seek to perpetuate human misery in order to profit there from politically or otherwise will encounter the opposition of the United States.

It is already evident that, before the United States Government can proceed much further in its efforts to alleviate the situation and help start the European world on its way to recovery, there must be some agreement among the countries of Europe as to the requirements of the situation and the part those countries themselves will take in order to give proper effect to whatever action might be undertaken by this Government. It would be neither fitting nor efficacious for this Government to undertake to draw up unilaterally a program designed to place Europe on its feet economically. This is the business of the Europeans. The initiative, I think, must come from Europe. The role of this country should consist of friendly aid in the drafting of a European program and of later support of such a program so far as it may be practical for us to do so. The program should be a joint one, agreed to by a number, if not all, European nations.



An essential part of any successful action on the part of the United States is an understanding on the part of the people of America of the character of the problem and the remedies to be applied. Political passion and prejudice should have no part. With foresight, and a willingness on the part of our people to face up to the vast responsibility which history has clearly placed upon our country, the difficulties I have outlined can and will be overcome.

## Вопросы для самоконтроля:

1. Какая существовала связь между экономическими проблемами Европы и мировой экономикой?

2. Как данные проблемы угрожали благополучию США и почему США были заинтересованы в оказании помощи европейским странам?

3. На основе каких принципов и подходов США готовы были оказать экономическую помощь другим странам?

4. Какова должна была быть роль самих Европейских стран в решении стоящих перед ними экономических проблем?

# Темы презентаций:

• «План Маршалла» как инструмент по нейтрализации просоветских настроений в странах Европы.

• Социально-политические и психологические последствия «Плана Маршала» для Европы.

• «План Маршала» и роль американских монополий по продвижению интересов американского капитала на европейском континенте.

• «План Маршала» и реакция стран Восточной Европы и СССР.

# George Kennan: «The Sources of Soviet Conduct» (1947).

THE SOURCES ( SOVIET CONDUCT by X

Фрост Кеннан Джордж историк, дипломат, один из основателей советологии в США. Идейный отец «политики сдерживания» и доктрины Трумэна. С 1934 по 1946 гг. работал Автор в Посольстве CIIIA в CCCP. трудов истории по взаимоотношений России и стран Запада. Статья «Истоки советского поведения», опубликованная за подписью «Х», была напечатана в июле 1947 года в журнале «Форин афферс».

## Part I

The political personality of Soviet power as we know it today is the product of ideology and circumstances: ideology inherited by the present Soviet leaders from the movement in which they had their political origin, and circumstances of the power which they now have exercised for nearly three decades in Russia. There can be few tasks of psychological analysis more difficult than to try to trace the interaction of these two forces and the relative role of each in the determination of official Soviet conduct. yet the attempt must be made if that conduct is to be understood and effectively countered.

It is difficult to summarize the set of ideological concepts with which the Soviet leaders came into power. Marxian ideology, in its Russian-Communist projection, has always been in process of subtle evolution. The materials on which it bases itself are extensive and complex. But the outstanding features of Communist thought as it existed in 1916 may perhaps be summarized as follows: (a) that the central factor in the life of man, the factor which determines the character of public life and the "physiognomy of society," is the system by which material goods are produced and exchanged; (b) that the capitalist system of production is a nefarious one which inevitable leads to the exploitation of the working class by the capital-owning class and is incapable of developing adequately the economic resources of society or of distributing fairly the material good produced by human labor; (c) that capitalism contains the seeds of its own destruction and must, in view of the inability of the capital-owning class to adjust itself to economic change, result eventually and inescapably in a revolutionary transfer of power to the working class; and (d) that imperialism, the final phase of capitalism, leads directly to war and revolution.

The rest may be outlined in Lenin's own words: "Unevenness of economic and political development is the inflexible law of capitalism. It follows from this that the victory of Socialism may come originally in a few capitalist countries or even in a single capitalist country. The victorious proletariat of that country, having expropriated the capitalists and having organized Socialist production at home, would rise against the remaining capitalist world, drawing to itself in the process the oppressed classes of other countries." It must be noted that there was no assumption that capitalism would perish without proletarian revolution. A final push was needed from a revolutionary proletariat movement in order to tip over the tottering structure. But it was regarded as inevitable that sooner of later that push be given.

For 50 years prior to the outbreak of the Revolution, this pattern of thought had exercised great fascination for the members of the Russian revolutionary movement. Frustrated, discontented, hopeless of finding self-expression – or too impatient to seek it – in the confining limits of the Tsarist political system, yet lacking wide popular support or their choice of bloody revolution as a means of social betterment, these revolutionists found in Marxist theory a highly convenient rationalization for their own instinctive desires. It afforded pseudo-scientific justification for their

impatience, for their categoric denial of all value in the Tsarist system, for their yearning for power and revenge and for their inclination to cut corners in the pursuit of it. It is therefore no wonder that they had come to believe implicitly in the truth and soundness of the Marxist-Leninist teachings, so congenial to their own impulses and emotions. Their sincerity need not be impugned. This is a phenomenon as old as human nature itself. It is has never been more aptly described than by Edward Gibbon, who wrote in *The Decline and Fall of the Roman Empire*: "From enthusiasm to imposture the step is perilous and slippery; the demon of Socrates affords a memorable instance of how a wise man may deceive himself, how a good man may deceive others, how the conscience may slumber in a mixed and middle state between self-illusion and voluntary fraud." And it was with this set of conceptions that the members of the Bolshevik Party entered into power.

Now it must be noted that through all the years of preparation for revolution, the attention of these men, as indeed of Marx himself, had been centered less on the future form which Socialism would take than on the necessary overthrow of rival power which, in their view, had to precede the introduction of Socialism. Their views, therefore, on the positive program to be put into effect, once power was attained, were for the most part nebulous, visionary and impractical. beyond the nationalization of industry and the expropriation of large private capital holdings there was no agreed program. The treatment of the peasantry, which, according to the Marxist formulation was not of the proletariat, had always been a vague spot in the pattern of Communist thought: and it remained an object of controversy and vacillation for the first ten years of Communist power.

The circumstances of the immediate post-revolution period -- the existence in Russia of civil war and foreign intervention, together with the obvious fact that the Communists represented only a tiny minority of the Russian people – made the establishment of dictatorial power a necessity. The experiment with war Communism" and the abrupt attempt to eliminate private production and trade had unfortunate economic consequences and caused further bitterness against the new revolutionary regime. While the temporary relaxation of the effort to communize Russia, represented by the New Economic Policy, alleviated some of this economic distress and thereby served its purpose, it also made it evident that the "capitalistic sector of society" was still prepared to profit at once from any relaxation of governmental pressure, and would, if permitted to continue to exist, always

constitute a powerful opposing element to the Soviet regime and a serious rival for influence in the country. Somewhat the same situation prevailed with respect to the individual peasant who, in his own small way, was also a private producer.

Lenin, had he lived, might have proved a great enough man to reconcile these conflicting forces to the ultimate benefit of Russian society, thought this is questionable. But be that as it may, Stalin, and those whom he led in the struggle for succession to Lenin's position of leadership, were not the men to tolerate rival political forces in the sphere of power which they coveted. Their sense of insecurity was too great. Their particular brand of fanaticism, unmodified by any of the Anglo-Saxon traditions of compromise, was too fierce and too jealous to envisage any permanent sharing of power. From the Russian-Asiatic world out of which they had emerged they carried with them a skepticism as to the possibilities of permanent and peaceful coexistence of rival forces. Easily persuaded of their own doctrinaire "rightness," they insisted on the submission or destruction of all competing power. Outside the Communist Party, Russian society was to have no rigidity. There were to be no forms of collective human activity or association which would not be dominated by the Party. No other force in Russian society was to be permitted to achieve vitality or integrity. Only the Party was to have structure. All else was to be an amorphous mass.

And within the Party the same principle was to apply. The mass of Party members might go through the motions of election, deliberation, decision and action; but in these motions they were to be animated not by their own individual wills but by the awesome breath of the Party leadership and the overbrooding presence of "the word."

Let it be stressed again that subjectively these men probably did not seek absolutism for its own sake. They doubtless believed – and found it easy to believe – that they alone knew what was good for society and that they would accomplish that good once their power was secure and unchallengeable. But in seeking that security of their own rule they were prepared to recognize no restrictions, either of God or man, on the character of their methods. And until such time as that security might be achieved, they placed far down on their scale of operational priorities the comforts and happiness of the peoples entrusted to their care.

Now the outstanding circumstance concerning the Soviet regime is that down to the present day this process of political consolidation has never been completed and the men in the Kremlin have continued to be predominantly absorbed with the struggle to secure and make absolute the power which they seized in November 1917. They have endeavored to secure it primarily against forces at home, within Soviet society itself. But they have also endeavored to secure it against the outside world. For ideology, as we have seen, taught them that the outside world was hostile and that it was their duty eventually to overthrow the political forces beyond their borders. Then powerful hands of Russian history and tradition reached up to sustain them in this feeling. Finally, their own aggressive intransigence with respect to the outside world began to find its own reaction; and they were soon forced, to use another Gibbonesque phrase, "to chastise the contumacy" which they themselves had provoked. It is an undeniable privilege of every man to prove himself right in the thesis that the world is his enemy; for if he reiterates it frequently enough and makes it the background of his conduct he is bound eventually to be right.

Now it lies in the nature of the mental world of the Soviet leaders, as well as in the character of their ideology, that no opposition to them can be officially recognized as having any merit or justification whatsoever. Such opposition can flow, in theory, only from the hostile and incorrigible forces of dying capitalism. As long as remnants of capitalism were officially recognized as existing in Russia, it was possible to place on them, as an internal element, part of the blame for the maintenance of a dictatorial form of society. But as these remnants were liquidated, little by little, this justification fell away, and when it was indicated officially that they had been finally destroyed, it disappeared altogether. And this fact created one of the most basic of the compulsions which came to act upon the Soviet regime: since capitalism no longer existed in Russia and since it could not be admitted that there could be serious or widespread opposition to the Kremlin springing spontaneously from the liberated masses under its authority, it became necessary to justify the retention of the dictatorship by stressing the menace of capitalism abroad.

This began at an early date. In 1924 Stalin specifically defended the retention of the "organs of suppression," meaning, among others, the army and the secret police, on the ground that "as long as there is a capitalistic encirclement there will be danger of intervention with all the consequences that flow from that danger." In accordance with that theory, and from that time on, all internal opposition forces in Russia have consistently been

portrayed as the agents of foreign forces of reaction antagonistic to Soviet power.

By the same token, tremendous emphasis has been placed on the original Communist thesis of a basic antagonism between the capitalist and Socialist worlds. It is clear, from many indications, that this emphasis is not founded in reality. The real facts concerning it have been confused by the existence abroad of genuine resentment provoked by Soviet philosophy and tactics and occasionally by the existence of great centers of military power, notably the Nazi regime in Germany and the Japanese Government of the late 1930s, which indeed have aggressive designs against the Soviet Union. But there is ample evidence that the stress laid in Moscow on the menace confronting Soviet society from the world outside its borders is founded not in the realities of foreign antagonism but in the necessity of explaining away the maintenance of dictatorial authority at home.

Now the maintenance of this pattern of Soviet power, namely, the pursuit of unlimited authority domestically, accompanied by the cultivation of the semi-myth of implacable foreign hostility, has gone far to shape the actual machinery of Soviet power as we know it today. Internal organs of administration which did not serve this purpose withered on the vine. Organs which did serve this purpose became vastly swollen. The security of Soviet power came to rest on the iron discipline of the Party, on the severity and ubiquity of the secret police, and on the uncompromising economic monopolism of the state. The "organs of suppression," in which the Soviet leaders had sought security from rival forces, became in large measures the masters of those whom they were designed to serve. Today the major part of the structure of Soviet power is committed to the perfection of the dictatorship and to the maintenance of the concept of Russia as in a state of siege, with the enemy lowering beyond the walls. And the millions of human beings who form that part of the structure of power must defend at all costs this concept of Russia's position, for without it they are themselves superfluous.

As things stand today, the rulers can no longer dream of parting with these organs of suppression. The quest for absolute power, pursued now for nearly three decades with a ruthlessness unparalleled (in scope at least) in modern times, has again produced internally, as it did externally, its own reaction. The excesses of the police apparatus have fanned the potential opposition to the regime into something far greater and more dangerous than it could have been before those excesses began. But least of all can the rulers dispense with the fiction by which the maintenance of dictatorial power has been defended. For this fiction has been canonized in Soviet philosophy by the excesses already committed in its name; and it is now anchored in the Soviet structure of thought by bonds far greater than those of mere ideology.



#### Part II

So much for the historical background. What does it spell in terms of the political personality of Soviet power as we know it today?

Of the original ideology, nothing has been officially junked. Belief is maintained in the basic badness of capitalism, in the inevitability of its destruction, in the obligation of the proletariat to assist in that destruction and to take power into its own hands. But stress has come to be laid primarily on those concepts which relate most specifically to the Soviet regime itself: to its position as the sole truly Socialist regime in a dark and misguided world, and to the relationships of power within it.

The first of these concepts is that of the innate antagonism between capitalism and Socialism. We have seen how deeply that concept has become imbedded in foundations of Soviet power. It has profound implications for Russia's conduct as a member of international society. It means that there can never be on Moscow's side an sincere assumption of a community of aims between the Soviet Union and powers which are regarded as capitalist. It must inevitably be assumed in Moscow that the aims of the capitalist world are antagonistic to the Soviet regime, and therefore to the interests of the peoples it controls. If the Soviet government occasionally sets it signature to documents which would indicate the contrary, this is to regarded as a tactical maneuver permissible in dealing with the enemy (who is without honor) and should be taken in the spirit of caveat emptor. Basically, the antagonism remains. It is postulated. And from it flow many of the phenomena which we find disturbing in the Kremlin's conduct of foreign policy: the secretiveness, the lack of frankness, the duplicity, the wary suspiciousness, and the basic unfriendliness of purpose. These phenomena are there to stay, for the foreseeable future. There can be variations of degree and of emphasis. When there is something the Russians want from us, one or the other of these features of their policy may be thrust temporarily into the background; and when that happens there will always be Americans who will leap forward with gleeful announcements that "the Russians have changed," and some who will even try to take credit for having brought about such "changes." But we should not be misled by tactical maneuvers. These characteristics of Soviet policy, like the postulate from which they flow, are basic to the internal nature of Soviet power, and will be with us, whether in the foreground or the background, until the internal nature of Soviet power is changed.

This means we are going to continue for long time to find the Russians difficult to deal with. It does not mean that they should be considered as embarked upon a do-or-die program to overthrow our society by a given date. The theory of the inevitability of the eventual fall of capitalism has the fortunate connotation that there is no hurry about it. The forces of progress can take their time in preparing the final *coup de grâce*. meanwhile, what is vital is that the "Socialist fatherland" -- that oasis of power which has already been won for Socialism in the person of the Soviet Union -- should be cherished and defended by all good Communists at home and abroad, its fortunes promoted, its enemies badgered and confounded. The promotion of premature, "adventuristic" revolutionary projects abroad which might embarrass Soviet power in any way would be an inexcusable, even a counter-revolutionary act. The cause of Socialism is the support and promotion of Soviet power, as defined in Moscow.

This brings us to the second of the concepts important to contemporary Soviet outlook. That is the infallibility of the Kremlin. The Soviet concept of power, which permits no focal points of organization outside the Party itself, requires that the Party leadership remain in theory the sole repository of truth. For if truth were to be found elsewhere, there would be justification for its expression in organized activity. But it is precisely that which the Kremlin cannot and will not permit. The leadership of the Communist Party is therefore always right, and has been always right ever since in 1929 Stalin formalized his personal power by announcing that decisions of the Politburo were being taken unanimously.

On the principle of infallibility there rests the iron discipline of the Communist Party. In fact, the two concepts are mutually self-supporting. Perfect discipline requires recognition of infallibility. Infallibility requires the observance of discipline. And the two go far to determine the behaviorism of the entire Soviet apparatus of power. But their effect cannot be understood unless a third factor be taken into account: namely, the fact that the leadership is at liberty to put forward for tactical purposes any particular thesis which it finds useful to the cause at any particular moment and to require the faithful and unquestioning acceptance of that thesis by the members of the movement as a whole. This means that truth is not a constant but is actually created, for all intents and purposes, by the Soviet leaders themselves. It may vary from week to week, from month to month. It is nothing absolute and immutable – nothing which flows from objective reality. It is only the most recent manifestation of the wisdom of those in whom the ultimate wisdom is supposed to reside, because they represent the logic of history. The accumulative effect of these factors is to give to the whole subordinate apparatus of Soviet power an unshakable stubbornness and steadfastness in its orientation. This orientation can be changed at will by the Kremlin but by no other power. Once a given party line has been laid down on a given issue of current policy, the whole Soviet governmental machine, including the mechanism of diplomacy, moves inexorably along the prescribed path, like a persistent toy automobile wound up and headed in a given direction, stopping only when it meets with some unanswerable force. The individuals who are the components of this machine are unamenable to argument or reason, which comes to them from outside sources. Their whole training has taught them to mistrust and discount the glib persuasiveness of the outside world. Like the white dog before the phonograph, they hear only the "master's voice." And if they are to be called off from the purposes last dictated to them, it is the master who must call them off. Thus the foreign representative cannot hope that his words will make any impression on them. The most that he can hope is that they will be transmitted to those at the top, who are capable of changing the party line. But even those are not likely to be swayed by any normal logic in the words of the bourgeois representative. Since there can be no

appeal to common purposes, there can be no appeal to common mental approaches. For this reason, facts speak louder than words to the ears of the Kremlin; and words carry the greatest weight when they have the ring of reflecting, or being backed up by, facts of unchallengeable validity.

But we have seen that the Kremlin is under no ideological compulsion to accomplish its purposes in a hurry. Like the Church, it is dealing in ideological concepts which are of long-term validity, and it can afford to be patient. It has no right to risk the existing achievements of the revolution for the sake of vain baubles of the future. The very teachings of Lenin himself require great caution and flexibility in the pursuit of Communist purposes. Again, these precepts are fortified by the lessons of Russian history: of centuries of obscure battles between nomadic forces over the stretches of a vast unfortified plain. Here caution, circumspection, flexibility and deception are the valuable qualities; and their value finds a natural appreciation in the Russian or the oriental mind. Thus the Kremlin has no compunction about retreating in the face of superior forces. And being under the compulsion of no timetable, it does not get panicky under the necessity for such retreat. Its political action is a fluid stream which moves constantly, wherever it is permitted to move, toward a given goal. Its main concern is to make sure that it has filled every nook and cranny available to it in the basin of world power. But if it finds unassailable barriers in its path, it accepts these philosophically and accommodates itself to them. The main thing is that there should always be pressure, unceasing constant pressure, toward the desired goal. There is no trace of any feeling in Soviet psychology that that goal must be reached at any given time.

These considerations make Soviet diplomacy at once easier and more difficult to deal with than the diplomacy of individual aggressive leaders like Napoleon and Hitler. On the one hand it is more sensitive to contrary force, more ready to yield on individual sectors of the diplomatic front when that force is felt to be too strong, and thus more rational in the logic and rhetoric of power. On the other hand it cannot be easily defeated or discouraged by a single victory on the part of its opponents. And the patient persistence by which it is animated means that it can be effectively countered not by sporadic acts which represent the momentary whims of democratic opinion but only be intelligent long-range policies on the part of Russia's adversaries – policies no less steady in their purpose, and no less variegated and resourceful in their application, than those of the Soviet Union itself.

In these circumstances it is clear that the main element of any United States policy toward the Soviet Union must be that of long-term, patient but firm and vigilant containment of Russian expansive tendencies. It is important to note, however, that such a policy has nothing to do with outward histrionics: with threats or blustering or superfluous gestures of outward "toughness." While the Kremlin is basically flexible in its reaction to political realities, it is by no means unamenable to considerations of prestige. Like almost any other government, it can be placed by tactless and threatening gestures in a position where it cannot afford to yield even though this might be dictated by its sense of realism. The Russian leaders are keen judges of human psychology, and as such they are highly conscious that loss of temper and of self-control is never a source of strength in political affairs. They are quick to exploit such evidences of weakness. For these reasons it is a sine qua non of successful dealing with Russia that the foreign government in question should remain at all times cool and collected and that its demands on Russian policy should be put forward in such a manner as to leave the way open for a compliance not too detrimental to Russian prestige.

# Part III

In the light of the above, it will be clearly seen that the Soviet pressure against the free institutions of the western world is something that can be contained by the adroit and vigilant application of counter-force at a series of constantly shifting geographical and political points, corresponding to the shifts and maneuvers of Soviet policy, but which cannot be charmed or talked out of existence. The Russians look forward to a duel of infinite duration, and they see that already they have scored great successes. It must be borne in mind that there was a time when the Communist Party represented far more of a minority in the sphere of Russian national life than Soviet power today represents in the world community.

But if the ideology convinces the rulers of Russia that truth is on their side and they they can therefore afford to wait, those of us on whom that ideology has no claim are free to examine objectively the validity of that premise. The Soviet thesis not only implies complete lack of control by the west over its own economic destiny, it likewise assumes Russian unity, discipline and patience over an infinite period. Let us bring this apocalyptic vision down to earth, and suppose that the western world finds the strength and resourcefulness to contain Soviet power over a period of ten to fifteen years. What does that spell for Russia itself?

The Soviet leaders, taking advantage of the contributions of modern techniques to the arts of despotism, have solved the question of obedience within the confines of their power. Few challenge their authority; and even those who do are unable to make that challenge valid as against the organs of suppression of the state.

The Kremlin has also proved able to accomplish its purpose of building up Russia, regardless of the interests of the inhabitants, and industrial foundation of heavy metallurgy, which is, to be sure, not yet complete but which is nevertheless continuing to grow and is approaching those of the other major industrial countries. All of this, however, both the maintenance of internal political security and the building of heavy industry, has been carried out at a terrible cost in human life and in human hopes and energies. It has necessitated the use of forced labor on a scale unprecedented in modern times under conditions of peace. It has involved the neglect or abuse of other phases of Soviet economic life, particularly agriculture, consumers' goods production, housing and transportation.

To all that, the war has added its tremendous toll of destruction, death and human exhaustion. In consequence of this, we have in Russia today a population which is physically and spiritually tired. The mass of the people are disillusioned, skeptical and no longer as accessible as they once were to the magical attraction which Soviet power still radiates to its followers abroad. The avidity with which people seized upon the slight respite accorded to the Church for tactical reasons during the war was eloquent testimony to the fact that their capacity for faith and devotion found little expression in the purposes of the regime.

In these circumstances, there are limits to the physical and nervous strength of people themselves. These limits are absolute ones, and are binding even for the cruelest dictatorship, because beyond them people cannot be driven. The forced labor camps and the other agencies of constraint provide temporary means of compelling people to work longer hours than their own volition or mere economic pressure would dictate; but if people survive them at all they become old before their time and must be considered as human casualties to the demands of dictatorship. In either case their best powers are no longer available to society and can no longer be enlisted in the service of the state. Here only the younger generations can help. The younger generation, despite all vicissitudes and sufferings, is numerous and vigorous; and the Russians are a talented people. But it still remains to be seen what will be the effects on mature performance of the abnormal emotional strains of childhood which Soviet dictatorship created and which were enormously increased by the war. Such things as normal security and placidity of home environment have practically ceased to exist in the Soviet Union outside of the most remote farms and villages. And observers are not yet sure whether that is not going to leave its mark on the over-all capacity of the generation now coming into maturity.

In addition to this, we have the fact that Soviet economic development, while it can list certain formidable achievements, has been precariously spotty and uneven. Russian Communists who speak of the "uneven development of capitalism" should blush at the contemplation of their own national economy. Here certain branches of economic life, such as the metallurgical and machine industries, have been pushed out of all proportion to other sectors of economy. Here is a nation striving to become in a short period one of the great industrial nations of the world while it still has no highway network worthy of the name and only a relatively primitive network of railways. Much has been done to increase efficiency of labor and to teach primitive peasants something about the operation of machines. But maintenance is still a crying deficiency of all Soviet economy. Construction is hasty and poor in quality. Depreciation must be enormous. And in vast sectors of economic life it has not yet been possible to instill into labor anything like that general culture of production and technical self-respect which characterizes the skilled worker of the west.

It is difficult to see how these deficiencies can be corrected at an early date by a tired and dispirited population working largely under the shadow of fear and compulsion. And as long as they are not overcome, Russia will remain economically as vulnerable, and in a certain sense an impotent, nation, capable of exporting its enthusiasms and of radiating the strange charm of its primitive political vitality but unable to back up those articles of export by the real evidences of material power and prosperity.

Meanwhile, a great uncertainty hangs over the political life of the Soviet Union. That is the uncertainty involved in the transfer of power from one individual or group of individuals to others.

This is, of course, outstandingly the problem of the personal position of Stalin. We must remember that his succession to Lenin's pinnacle of preeminence in the Communist movement was the only such transfer of individual authority which the Soviet Union has experienced. That transfer took 12 years to consolidate. It cost the lives of millions of people and shook the state to its foundations. The attendant tremors were felt all through the international revolutionary movement, to the disadvantage of the Kremlin itself.

It is always possible that another transfer of pre-eminent power may take place quietly and inconspicuously, with no repercussions anywhere. But again, it is possible that the questions involved may unleash, to use some of Lenin's words, one of those "incredibly swift transitions" from "delicate deceit" to "wild violence" which characterize Russian history, and may shake Soviet power to its foundations.

But this is not only a question of Stalin himself. There has been, since 1938, a dangerous congealment of political life in the higher circles of Soviet power. The All-Union Congress of Soviets, in theory the supreme body of the Party, is supposed to meet not less often than once in three years. It will soon be eight full years since its last meeting. During this period membership in the Party has numerically doubled. Party mortality during the war was enormous; and today well over half of the Party members are persons who have entered since the last Party congress was held. meanwhile, the same small group of men has carried on at the top through an amazing series of national vicissitudes. Surely there is some reason why the experiences of the war brought basic political changes to every one of the great governments of the west. Surely the causes of that phenomenon are basic enough to be present somewhere in the obscurity of Soviet political life, as well. And yet no recognition has been given to these causes in Russia.

It must be surmised from this that even within so highly disciplined an organization as the Communist Party there must be a growing divergence in age, outlook and interest between the great mass of Party members, only so recently recruited into the movement, and the little selfperpetuating clique of men at the top, whom most of these Party members have never met, with whom they have never conversed, and with whom they can have no political intimacy.

Who can say whether, in these circumstances, the eventual rejuvenation of the higher spheres of authority (which can only be a matter of time) can take place smoothly and peacefully, or whether rivals in the quest for higher power will not eventually reach down into these politically immature and inexperienced masses in order to find support for their respective claims? If this were ever to happen, strange consequences could flow for the Communist Party: for the membership at large has been exercised only in the practices of iron discipline and obedience and not in the arts of compromise and accommodation. And if disunity were ever to seize and paralyze the Party, the chaos and weakness of Russian society would be revealed in forms beyond description. For we have seen that Soviet power is only concealing an amorphous mass of human beings among whom no independent organizational structure is tolerated. In Russia there is not even such a thing as local government. The present generation of Russians have never known spontaneity of collective action. If, consequently, anything were ever to occur to disrupt the unity and efficacy of the Party as a political instrument, Soviet Russia might be changed overnight from one of the strongest to one of the weakest and most pitiable of national societies.

Thus the future of Soviet power may not be by any means as secure as Russian capacity for self-delusion would make it appear to the men of the Kremlin. That they can quietly and easily turn it over to others remains to be proved. Meanwhile, the hardships of their rule and the vicissitudes of international life have taken a heavy toll of the strength and hopes of the great people on whom their power rests. It is curious to note that the ideological power of Soviet authority is strongest today in areas beyond the frontiers of Russia, beyond the reach of its police power. This phenomenon brings to mind a comparison used by Thomas Mann in his great novel Buddenbrooks. Observing that human institutions often show the greatest outward brilliance at a moment when inner decay is in reality farthest advanced, he compared one of those stars whose light shines most brightly on this world when in reality it has long since ceased to exist. And who can say with assurance that the strong light still cast by the Kremlin on the dissatisfied peoples of the western world is not the powerful afterglow of a constellation which is in actuality on the wane? This cannot be proved. And it cannot be disproved. But the possibility remains (and in the opinion of this writer it is a strong one) that Soviet power, like the capitalist world of its conception, bears within it the seeds of its own decay, and that the sprouting of these seeds is well advanced.

## Part IV

It is clear that the United States cannot expect in the foreseeable future to enjoy political intimacy with the Soviet regime. It must continue to regard the Soviet Union as a rival, not a partner, in the political arena. It must continue to expect that Soviet policies will reflect no abstract love of peace and stability, no real faith in the possibility of a permanent happy coexistence of the Socialist and capitalist worlds, but rather a cautious, persistent pressure toward the disruption and, weakening of all rival influence and rival power.

Balanced against this are the facts that Russia, as opposed to the western world in general, is still by far the weaker party, that Soviet policy is highly flexible, and that Soviet society may well contain deficiencies which will eventually weaken its own total potential. This would of itself warrant the United States entering with reasonable confidence upon a policy of firm containment, designed to confront the Russians with unalterable counter-force at every point where they show signs of encroaching upon he interests of a peaceful and stable world.

But in actuality the possibilities for American policy are by no means limited to holding the line and hoping for the best. It is entirely possible for the United States to influence by its actions the internal developments, both within Russia and throughout the international Communist movement, by which Russian policy is largely determined. This is not only a question of the modest measure of informational activity which this government can conduct in the Soviet Union and elsewhere, although that, too, is important. It is rather a question of the degree to which the United States can create among the peoples of the world generally the impression of a country which knows what it wants, which is coping successfully with the problem of its internal life and with the responsibilities of a World Power, and which has a spiritual vitality capable of holding its own among the major ideological currents of the time. To the extent that such an impression can be created and maintained, the aims of Russian Communism must appear sterile and quixotic, the hopes and enthusiasm of Moscow's supporters must wane, and added strain must be imposed on the Kremlin's foreign policies. For the palsied decrepitude of the capitalist world is the keystone of Communist philosophy. Even the failure of the United States to experience the early economic depression which the ravens of the Red Square have been predicting with such complacent confidence since hostilities ceased would have deep and important repercussions throughout the Communist world.

By the same token, exhibitions of indecision, disunity and internal disintegration within this country have an exhilarating effect on the whole

Communist movement. At each evidence of these tendencies, a thrill of hope and excitement goes through the Communist world; a new jauntiness can be noted in the Moscow tread; new groups of foreign supporters climb on to what they can only view as the band wagon of international politics; and Russian pressure increases all along the line in international affairs.

It would be an exaggeration to say that American behavior unassisted and alone could exercise a power of life and death over the Communist movement and bring about the early fall of Soviet power in Russia. But the United States has it in its power to increase enormously the strains under which Soviet policy must operate, to force upon the Kremlin a far greater degree of moderation and circumspection than it has had to observe in recent years, and in this way to promote tendencies which must eventually find their outlet in either the breakup or the gradual mellowing of Soviet power. For no mystical, Messianic movement – and particularly not that of the Kremlin – can face frustration indefinitely without eventually adjusting itself in one way or another to the logic of that state of affairs.

Thus the decision will really fall in large measure in this country itself. The issue of Soviet-American relations is in essence a test of the overall worth of the United States as a nation among nations. To avoid destruction the United States need only measure up to its own best traditions and prove itself worthy of preservation as a great nation.

Surely, there was never a fairer test of national quality than this. In the light of these circumstances, the thoughtful observer of Russian-American relations will find no cause for complaint in the Kremlin's challenge to American society. He will rather experience a certain gratitude to a Providence which, by providing the American people with this implacable challenge, has made their entire security as a nation dependent on their pulling themselves together and accepting the responsibilities of moral and political leadership that history plainly intended them to bear.

#### Вопросы для самоконтроля

1. Какова, по мнению Джорджа Кеннана, идеологическая основа советского строя?

2. Почему, по мнению автора, после прихода к власти большевиков установление диктатуры в стране было неизбежно?

3. Какие традиции, по мнению Джорджа Кеннана, лежат в основе абсолютной власти в России?

4. Почему на определенном историческом этапе тезис о внутренней угрозе режиму был заменен на внешнюю?

5. Что из себя представляет концепция имманентного антагонизма?

6. Охарактеризуйте (по Джорджу Кеннану) концепцию «о непогрешимости Кремля».

7. В чем, по мнению автора, специфика гибкой и осторожной советской дипломатии, опирающейся на исторический опыт и определенные концептуальные подходы?

8. Как автор обосновывает проведение политики сдерживания по отношению к СССР? В чём суть данной политики?

9. К чему, по мнению автора, через 10-15 лет может привести «политика сдерживания»? Какие моменты (факторы) являются наиболее уязвимыми в советском обществе?

10. Каким образом, по мнению Джорджа Кеннана, США смогут повлиять на ситуацию внутри СССР?

## Тема презентаций:

• Исторические условия и причины появления «Доктрины сдерживания (Кеннана)».

• Политический портрет и идеологическое наследие Джорджа Кеннана.

• Внешняя политика США после Второй мировой войны, практическая реализация и результаты «Доктрины сдерживания» в первые послевоенные годы.

•Критический анализ предложенных Джорджем Кеннаном оценок природы советского режима.

# <u>Harry S. Truman: Address Before a Joint Session of Congress,</u> <u>March 1947</u>



12 марта 1947 г. Трумэн выступил с речью на объединенном заседании Сената и Палаты представителей американского Конгресса, в которой обосновал необходимость американского вмешательства в европейские дела. Данная политика вошла в историю под названием «доктрина Трумэна».

Mr. President, Mr. Speaker, Members of the Congress of the United States:

The gravity of the situation which confronts the world today necessitates my appearance before a joint session of the Congress. The foreign policy and the national security of this country are involved.

One aspect of the present situation, which I wish to present to you at this time for your consideration and decision, concerns Greece and Turkey.

The United States has received from the Greek Government an urgent appeal for financial and economic assistance. Preliminary reports from the American Economic Mission now in Greece and reports from the American Ambassador in Greece corroborate the statement of the Greek Government that assistance is imperative if Greece is to survive as a free nation. I do not believe that the American people and the Congress wish to turn a deaf ear to the appeal of the Greek Government.

Greece is not a rich country. Lack of sufficient natural resources has always forced the Greek people to work hard to make both ends meet. Since 1940, this industrious and peace loving country has suffered invasion, four years of cruel enemy occupation, and bitter internal strife.

When forces of liberation entered Greece they found that the retreating Germans had destroyed virtually all the railways, roads, port facilities, communications, and merchant marine. More than a thousand villages had been burned. Eighty-five per cent of the children were tubercular. Livestock, poultry, and draft animals had almost disappeared. Inflation had wiped out practically all savings.

As a result of these tragic conditions, a militant minority, exploiting human want and misery, was able to create political chaos which, until now, has made economic recovery impossible.

Greece is today without funds to finance the importation of those goods which are essential to bare subsistence. Under these circumstances the people of Greece cannot make progress in solving their problems of reconstruction. Greece is in desperate need of financial and economic assistance to enable it to resume purchases of food, clothing, fuel and seeds. These are indispensable for the subsistence of its people and are obtainable only from abroad. Greece must have help to import the goods necessary to restore internal order and security, so essential for economic and political recovery.

The Greek Government has also asked for the assistance of experienced American administrators, economists and technicians to insure that the financial and other aid given to Greece shall be used effectively in creating a stable and self-sustaining economy and in improving its public administration.

The very existence of the Greek state is today threatened by the terrorist activities of several thousand armed men, led by Communists, who defy the government's authority at a number of points, particularly along the northern boundaries. A Commission appointed by the United Nations security Council is at present investigating disturbed conditions in northern Greece and alleged border violations along the frontier between Greece on the one hand and Albania, Bulgaria, and Yugoslavia on the other.

Meanwhile, the Greek Government is unable to cope with the situation. The Greek army is small and poorly equipped. It needs supplies

and equipment if it is to restore the authority of the government throughout Greek territory. Greece must have assistance if it is to become a self-supporting and self-respecting democracy.

The United States must supply that assistance. We have already extended to Greece certain types of relief and economic aid but these are inadequate.

There is no other country to which democratic Greece can turn.

No other nation is willing and able to provide the necessary support for a democratic Greek government.

The British Government, which has been helping Greece, can give no further financial or economic aid after March 31. Great Britain finds itself under the necessity of reducing or liquidating its commitments in several parts of the world, including Greece.

We have considered how the United Nations might assist in this crisis. But the situation is an urgent one requiring immediate action and the United Nations and its related organizations are not in a position to extend help of the kind that is required.

It is important to note that the Greek Government has asked for our aid in utilizing effectively the financial and other assistance we may give to Greece, and in improving its public administration. It is of the utmost importance that we supervise the use of any funds made available to Greece; in such a manner that each dollar spent will count toward making Greece self-supporting, and will help to build an economy in which a healthy democracy can flourish.

No government is perfect. One of the chief virtues of a democracy, however, is that its defects are always visible and under democratic processes can be pointed out and corrected. The Government of Greece is not perfect. Nevertheless it represents eighty-five per cent of the members of the Greek Parliament who were chosen in an election last year. Foreign observers, including 692 Americans, considered this election to be a fair expression of the views of the Greek people.

The Greek Government has been operating in an atmosphere of chaos and extremism. It has made mistakes. The extension of aid by this country does not mean that the United States condones everything that the Greek Government has done or will do. We have condemned in the past, and we condemn now, extremist measures of the right or the left. We have in the past advised tolerance, and we advise tolerance now.

Greece's neighbor, Turkey, also deserves our attention.

The future of Turkey as an independent and economically sound state is clearly no less important to the freedom-loving peoples of the world than the future of Greece. The circumstances in which Turkey finds itself today are considerably different from those of Greece. Turkey has been spared the disasters that have beset Greece. And during the war, the United States and Great Britain furnished Turkey with material aid.

Nevertheless, Turkey now needs our support.

Since the war Turkey has sought financial assistance from Great Britain and the United States for the purpose of effecting that modernization necessary for the maintenance of its national integrity.

That integrity is essential to the preservation of order in the Middle East.

The British government has informed us that, owing to its own difficulties can no longer extend financial or economic aid to Turkey.

As in the case of Greece, if Turkey is to have the assistance it needs, the United States must supply it. We are the only country able to provide that help.

I am fully aware of the broad implications involved if the United States extends assistance to Greece and Turkey, and I shall discuss these implications with you at this time.

One of the primary objectives of the foreign policy of the United States is the creation of conditions in which we and other nations will be able to work out a way of life free from coercion. This was a fundamental issue in the war with Germany and Japan. Our victory was won over countries which sought to impose their will, and their way of life, upon other nations.

To ensure the peaceful development of nations, free from coercion, the United States has taken a leading part in establishing the United Nations, The United Nations is designed to make possible lasting freedom and independence for all its members. We shall not realize our objectives, however, unless we are willing to help free peoples to maintain their free institutions and their national integrity against aggressive movements that seek to impose upon them totalitarian regimes. This is no more than a frank recognition that totalitarian regimes imposed on free peoples, by direct or indirect aggression, undermine the foundations of international peace and hence the security of the United States.

The peoples of a number of countries of the world have recently had totalitarian regimes forced upon them against their will. The Government

of the United States has made frequent protests against coercion and intimidation, in violation of the Yalta agreement, in Poland, Rumania, and Bulgaria. I must also state that in a number of other countries there have been similar developments.

At the present moment in world history nearly every nation must choose between alternative ways of life. The choice is too often not a free one.

One way of life is based upon the will of the majority, and is distinguished by free institutions, representative government, free elections, guarantees of individual liberty, freedom of speech and religion, and freedom from political oppression.

The second way of life is based upon the will of a minority forcibly imposed upon the majority. It relies upon terror and oppression, a controlled press and radio; fixed elections, and the suppression of personal freedoms.

I believe that it must be the policy of the United States to support free peoples who are resisting attempted subjugation by armed minorities or by outside pressures.

I believe that we must assist free peoples to work out their own destinies in their own way.

I believe that our help should be primarily through economic and financial aid which is essential to economic stability and orderly political processes.

The world is not static, and the status quo is not sacred. But we cannot allow changes in the status quo in violation of the Charter of the United Nations by such methods as coercion, or by such subterfuges as political infiltration. In helping free and independent nations to maintain their freedom, the United States will be giving effect to the principles of the Charter of the United Nations.

It is necessary only to glance at a map to realize that the survival and integrity of the Greek nation are of grave importance in a much wider situation. If Greece should fall under the control of an armed minority, the effect upon its neighbor, Turkey, would be immediate and serious. Confusion and disorder might well spread throughout the entire Middle East.

Moreover, the disappearance of Greece as an independent state would have a profound effect upon those countries in Europe whose peoples are struggling against great difficulties to maintain their freedoms and their independence while they repair the damages of war.

It would be an unspeakable tragedy if these countries, which have struggled so long against overwhelming odds, should lose that victory for which they sacrificed so much. Collapse of free institutions and loss of independence would be disastrous not only for them but for the world. Discouragement and possibly failure would quickly be the lot of neighboring peoples striving to maintain their freedom and independence.

Should we fail to aid Greece and Turkey in this fateful hour, the effect will be far reaching to the West as well as to the East.

We must take immediate and resolute action.

I therefore ask the Congress to provide authority for assistance to Greece and Turkey in the amount of \$400,000,000 for the period ending June 30, 1948. In requesting these funds, I have taken into consideration the maximum amount of relief assistance which would be furnished to Greece out of the \$350,000,000 which I recently requested that the Congress authorize for the prevention of starvation and suffering in countries devastated by the war.

In addition to funds, I ask the Congress to authorize the detail of American civilian and military personnel to Greece and Turkey, at the request of those countries, to assist in the tasks of reconstruction, and for the purpose of supervising the use of such financial and material assistance as may be furnished. I recommend that authority also be provided for the instruction and training of selected Greek and Turkish personnel.

Finally, I ask that the Congress provide authority which will permit the speediest and most effective use, in terms of needed commodities, supplies, and equipment, of such funds as may be authorized.

If further funds, or further authority, should be needed for purposes indicated in this message, I shall not hesitate to bring the situation before the Congress. On this subject the Executive and Legislative branches of the Government must work together.

This is a serious course upon which we embark.

I would not recommend it except that the alternative is much more serious. The United States contributed \$341,000,000,000 toward winning World War II. This is an investment in world freedom and world peace.

The assistance that I am recommending for Greece and Turkey amounts to little more than 1 tenth of 1 per cent of this investment. It is only common sense that we should safeguard this investment and make sure that it was not in vain.

The seeds of totalitarian regimes are nurtured by misery and want. They spread and grow in the evil soil of poverty and strife. They reach their full growth when the hope of a people for a better life has died. We must keep that hope alive.

The free peoples of the world look to us for support in maintaining their freedoms.

If we falter in our leadership, we may endanger the peace of the world -- and we shall surely endanger the welfare of our own nation.

Great responsibilities have been placed upon us by the swift movement of events.

I am confident that the Congress will face these responsibilities squarely.

#### Вопросы для самоконтроля

1. Какие аргументы (экономического и политического характера) выдвинул Президент в качестве обоснования необходимости экономической помощи Греции и Турции?

2. Как Президент обосновывает необходимость именно американского вмешательства в дела Греции и Турции?

3. Цели американской внешней политики в контексте тезиса и «двух образах жизни стран».

4. Как связана угроза установления тоталитарных режимов в некоторых странах и необходимостью финансовой и других видов помощи этим странам?

5. Каковы различия в оценках ситуаций в Греции и Турции ?

#### Тема презентации:

• «Доктрина Трумэна» и эволюция внешней политики США. Роль и место доктрины систете международных отношений.

• Влияние «Доктрины Трумэна» на судьбы других государств и на развитие послевоенных международных отношений.

• Сравнительный анализ «Доктрин Трумэна» и «Доктрины Монро».

# Глава З. ВНЕШНЯЯ ПОЛИТИКА АДМИНИСТРАЦИИ Г. ТРУМЭНА (1949 -1952) 2 часть

На выборах президента США в 1948 г. победил Гарри Трумэн, что можно рассматривать как окончательную институализацию политики «Холодной войны». Сторонник продолжения внешней политики Ф. Рузвельта, направленной на сотрудничество с СССР, бывший вице-президент Г. Уоллес потерпел сокрушительное поражение.

В своей инаугурационной речи президент обозначил 4 приоритета внешней политики США – поддержка ООН, восстановление Европы, создание военно-политического союза со странами Западной Европы и оказание экономической помощи слаборазвитым странам третьего мира. В связи с этим, данное выступление Трумэна иногда называют «четырёхточечной речью».

Именно 4 пункт программы привлек особое внимание общественности и экспертов, так как был нацелен на предотвращение распространения коммунистических и леворадикальных идей -за счет решения проблем бедности и привлечения под свои знамена умеренных политических сил в странах третьего мира. Новый, четко обозначенный приоритет, вошел в историю под названием «Программа 4 пункта». См. *Harry S. Truman: Inaugural Address, Jan.* 1949 (Программа 4 пункта). Интересно, что именно эта инаугурационная речь Президента впервые транслировалась по телевидению.

К началу 1949 г. мир заметно изменился – он стал биполярным, а конфронтационность между двумя сверхдержавами набирала обороты. Стремление США сохранить свой контроль над Западной Европой привело к созданию Организации Североатлантического Договора. Новый гос. секретарь Дин Ачесон смог успешно разрешить спорные вопросы и добиться подписания 4 апреля 1949 г. в Вашингтоне соглашения о создании НАТО, 21 июля договор был ратифицирован Сенатом США и окончательно вступил в силу 24 августа, после его ратификации всеми членами Альянса. Создание военно-политических союзов с целью обороны разрешалось 51 статьей Устава ООН, чем американцы и воспользовались. См. North Atlantic Treaty, April 1949

93

В договоре говорилось, что союз создается исключительно с целью обороны, и для этой же цели будут использованы вооруженные силы. В этой связи, особый интерес представляет 5 статья Договора, в которой после некоторой корректировки со стороны американцев, было зафиксировано, что в случае агрессии против одной из стран НАТО, другие члены должны принять «такие действия, какие они сочтут необходимыми, включая использование военной силы».

Инициировав создание НАТО, США стремились не допустить появление независимой от Вашингтона политической силы в Западной Европе.

Первым крупномасштабным военным конфликтом «Холодной войны» стали события на Корейском полуострове в 1950-1953 гг. Конфликт между КНДР и КР был воспринят в Вашингтоне как акт неспровоцированной коммунистической агрессии. В своей известной речи от 19 июля 1950 г. Г. Трумэн дал оценку событию, возложив вину на международные коммунистические силы и сравнив действия северокорейских коммунистов с действиями Гитлера в Европе в 1930духе «Холодной войны» речь была пропитана е гг. В антикоммунистическими призывами. См. Harry S. Truman: Address to the Nation on Korea, July 19, 1950

После промежуточных выборов в Конгресс в 1950 г., в январе 1951 г. президент Г. Трумэн обратился с программной речью к законодателям 82-го Конгресса. По понятным причинам (война в Корее) значительная часть речи была посвящена роли США на международной арене. Борьбу с коммунистической агрессией, примером которой являлись события в Корее, он связал с важностью совершенствования развития лальнейшего И промышленного и военного потенциала страны. В речи президента можно отметить два ключевых момента – это призыв наращивать военные мускулы (гимн ВПК) и заявление, что США готовы противодействовать СССР во всех регионах мира. Harry S. Truman: Annual Message to Congress, January 8, 1951

К концу правления Г. Трумэна США окончательно взяли на себя роль лидера Западного мира, создали политическую, экономическую и военно-стратегическую основу «Холодной войны», что придало ей мощную инерционную силу.

Институционализация данного явления вызвала заметные политические и социально-экономические сдвиги в странах Запада, да и всего остального мира, определила тенденции развития на многие десятилетия вперед.

В данной главе представлены следующие документы:

Harry S. Truman: Inaugural Address, (Jan. 1949). North Atlantic Treaty, (April 1949). Harry S. Truman: Address to the Nation on Korea, (July 19, 1950). Harry S. Truman: Annual Message to Congress, (January 8, 1951).

Harry S. Truman: Inaugural Address, Jan. 1949



Mr. Vice President, Mr. Chief Justice, fellow citizens:

I accept with humility the honor which the American people have conferred upon me. I accept it with a resolve to do all that I can for the welfare of this Nation and for the peace of the world.

In performing the duties of my office, I need the help and the prayers of every one of you. I ask for your encouragement and for your support. The tasks we face are difficult. We can accomplish them only if we work together.

Each period of our national history has had its special challenges. Those that confront us now are as momentous as any in the past. Today marks the beginning not only of a new administration, but of a period that will be eventful, perhaps decisive, for us and for the world. It may be our lot to experience, and in a large measure bring about, a major turning point in the long history of the human race. The first half of this century has been marked by unprecedented and brutal attacks on the rights of man, and by the two most frightful wars in history. The supreme need of our time is for men to learn to live together in peace and harmony.

The peoples of the earth face the future with grave uncertainty, composed almost equally of great hopes and great fears. In this time of doubt, they look to the United States as never before for good will, strength, and wise leadership.

It is fitting, therefore, that we take this occasion to proclaim to the world the essential principles of the faith by which we live, and to declare our aims to all peoples.

The American people stand firm in the faith which has inspired this Nation from the beginning. We believe that all men have a right to equal justice under law and equal opportunity to share in the common good. We believe that all men have a right to freedom of thought and expression. We believe that all men are created equal because they are created in the image of God.

From this faith we will not be moved.

The American people desire, and are determined to work for, a world in which all nations and all peoples are free to govern themselves as they see fit, and to achieve a decent and satisfying life. Above all else, our people desire, and are determined to work for, peace on earth-a just and lasting peace-based on genuine agreement freely arrived at by equals.

In the pursuit of these aims, the United States and other like-minded nations find themselves directly opposed by a regime with contrary aims and a totally different concept of life.

That regime adheres to a false philosophy which purports to offer freedom, security, and greater opportunity to mankind. Misled by that philosophy, many peoples have sacrificed their liberties only to learn to their sorrow that deceit and mockery, poverty and tyranny, are their reward.

That false philosophy is communism.

Communism is based on the belief that man is so weak and inadequate that he is unable to govern himself, and therefore requires the rule of strong masters.

Democracy is based on the conviction that man has the moral and intellectual capacity, as well as the inalienable right, to govern himself with reason and justice.

Communism subjects the individual to arrest without lawful cause, punishment without trial, and forced labor as the chattel of the state. It decrees what information he shall receive, what art he shall produce, what leaders he shall follow, and what thoughts he shall think.

Democracy maintains that government is established for the benefit of the individual, and is charged with the responsibility of protecting the rights of the individual and his freedom in the exercise of those abilities of his.

Communism maintains that social wrongs can be corrected only by violence.

Democracy has proved that social justice can be achieved through peaceful change.

Communism holds that the world is so widely divided into opposing classes that war is inevitable.

Democracy holds that free nations can settle differences justly and maintain a lasting peace.

These differences between communism and democracy do not concern the United States alone. People everywhere are coming to realize that what is involved is material well-being, human dignity, and the right to believe in and worship God.

I state these differences, not to draw issues of belief as such, but because the actions resulting from the Communist philosophy are a threat to the efforts of free nations to bring about world recovery and lasting peace.

Since the end of hostilities, the United States has invested its substance and its energy in a great constructive effort to restore peace, stability, and freedom to the world.

We have sought no territory. We have imposed our will on none. We have asked for no privileges we would not extend to others.

We have constantly and vigorously supported the United Nations and related agencies as a means of applying democratic principles to international relations. We have consistently advocated and relied upon peaceful settlement of disputes among nations. We have made every effort to secure agreement on effective international control of our most powerful weapon, and we have worked steadily for the limitation and control of all armaments.

We have encouraged, by precept and example, the expansion of world trade on a sound and fair basis.

Almost a year ago, in company with 16 free nations of Europe, we launched the greatest cooperative economic program in history. The purpose of that unprecedented effort is to invigorate and strengthen democracy in Europe, so that the free people of that continent can resume their rightful place in the forefront of civilization and can contribute once more to the security and welfare of the world.

Our efforts have brought new hope to all mankind. We have beaten back despair and defeatism. We have saved a number of countries from losing their liberty. Hundreds of millions of people all over the world now agree with us, that we need not have war-that we can have peace.

The initiative is ours.

We are moving on with other nations to build an even stronger structure of international order and justice. We shall have as our partners countries which, no longer solely concerned with the problem of national survival, are now working to improve the standards of living of all their people. We are ready to undertake new projects to strengthen a free world.

In the coming years, our program for peace and freedom will emphasize four major courses of action.

First, we will continue to give unfaltering support to the United Nations and related agencies, and we will continue to search for ways to strengthen their authority and increase their effectiveness. We believe that the United Nations will be strengthened by the new nations which are being formed in lands now advancing toward self-government under democratic principles.

Second, we will continue our programs for world economic recovery.

This means, first of all, that we must keep our full weight behind the European recovery program. We are confident of the success of this major venture in world recovery. We believe that our partners in this effort will achieve the status of self-supporting nations once again.

In addition, we must carry out our plans for reducing the barriers to world trade and increasing its volume. Economic recovery and peace itself depend on increased world trade. Third, we will strengthen freedom-loving nations against the dangers of aggression.

We are now working out with a number of countries a joint agreement designed to strengthen the security of the North Atlantic area. Such an agreement would take the form of a collective defense arrangement within the terms of the United Nations Charter.

We have already established such a defense pact for the Western Hemisphere by the treaty of Rio de Janeiro.

The primary purpose of these agreements is to provide unmistakable proof of the joint determination of the free countries to resist armed attack from any quarter. Every country participating in these arrangements must contribute all it can to the common defense.

If we can make it sufficiently clear, in advance, that any armed attack affecting our national security would be met with overwhelming force, the armed attack might never occur.

I hope soon to send to the Senate a treaty respecting the North Atlantic security plan.

In addition, we will provide military advice and equipment to free nations which will cooperate with us in the maintenance of peace and security.

Fourth, we must embark on a bold new program for making the benefits of our scientific advances and industrial progress available for the improvement and growth of underdeveloped areas.

More than half the people of the world are living in conditions approaching misery. Their food is inadequate. They are victims of disease. Their economic life is primitive and stagnant. Their poverty is a handicap and a threat both to them and to more prosperous areas.

For the first time in history, humanity possesses the knowledge and skill to relieve suffering of these people.

The United States is pre-eminent among nations in the development of industrial and scientific techniques. The material resources which we can afford to use for assistance of other peoples are limited. But our imponderable resources in technical knowledge are constantly growing and are inexhaustible.

I believe that we should make available to peace-loving peoples the benefits of our store of technical knowledge in order to help them realize their aspirations for a better life. And, in cooperation with other nations, we should foster capital investment in areas needing development. Our aim should be to help the free peoples of the world, through their own efforts, to produce more food, more clothing, more materials for housing, and more mechanical power to lighten their burdens.

We invite other countries to pool their technological resources in this undertaking. Their contributions will be warmly welcomed. This should be a cooperative enterprise in which all nations work together through the United Nations and its specialized agencies whenever practicable. It must be a worldwide effort for the achievement of peace, plenty, and freedom.

With the cooperation of business, private capital, agriculture, and labor in this country, this program can greatly increase the industrial activity in other nations and can raise substantially their standards of living.

Such new economic developments must be devised and controlled to the benefit of the peoples of the areas in which they are established. Guarantees to the investor must be balanced by guarantees in the interest of the people whose resources and whose labor go into these developments.

The old imperialism--exploitation for foreign profit--has no place in our plans. What we envisage is a program of development based on the concepts of democratic fair-dealing.

All countries, including our own, will greatly benefit from a constructive program for the better use of the world's human and natural resources. Experience shows that our commerce with other countries expands as they progress industrially and economically.

Greater production is the key to prosperity and peace. And the key to greater production is a wider and more vigorous application of modern scientific and technical knowledge.

Only by helping the least fortunate of its members to help themselves can the human family achieve the decent, satisfying life that is the right of all people.

Democracy alone can supply the vitalizing force to stir the peoples of the world into triumphant action, not only against their human oppressors, but also against their ancient enemies--hunger, misery, and despair.

On the basis of these four major courses of action we hope to help create the conditions that will lead eventually to personal freedom and happiness for all mankind.

If we are to be successful in carrying out these policies, it is clear that we must have continued prosperity in this country and we must keep ourselves strong. Slowly but surely we are weaving a world fabric of international security and growing prosperity.

We are aided by all who wish to live in freedom from fear--even by those who live today in fear under their own governments.

We are aided by all who want relief from lies and propaganda--those who desire truth and sincerity.

We are aided by all who desire self-government and a voice in deciding their own affairs.

We are aided by all who long for economic security--for the security and abundance that men in free societies can enjoy.

We are aided by all who desire freedom of speech, freedom of religion, and freedom to live their own lives for useful ends.

Our allies are the millions who hunger and thirst after righteousness.

In due time, as our stability becomes manifest, as more and more nations come to know the benefits of democracy and to participate in growing abundance, I believe that those countries which now oppose us will abandon their delusions and join with the free nations of the world in a just settlement of international differences.

Events have brought our American democracy to new influence and new responsibilities. They will test our courage, our devotion to duty, and our concept of liberty.

But I say to all men, what we have achieved in liberty, we will surpass in greater liberty.

Steadfast in our faith in the Almighty, we will advance toward a world where man's freedom is secure.

To that end we will devote our strength, our resources, and our firmness of resolve. With God's help, the future of mankind will be assured in a world of justice, harmony, and peace.

#### Вопросы для самоконтроля

1. Какую характеристику коммунизму даёт Трумэн в инаугурационной речи?

2. По каким принципиальным позициям (критериям) сравнивает президент демократию и коммунизм?

3. Сформулируйте 4 основных направления внешней политики, изложенных в речи Президента.

4. Почему особое внимание было уделено четвертому пункту программы? В чем его новизна?

5. Прокомментируйте фразу Трумэна – «Мы должны приступить к реализации новой смелой программы по использованию преимуществ наших научных достижений и промышленного прогресса для улучшения и роста слаборазвитых областей». Как Президент обосновывает необходимость решения этой задачи? Какие возможности были у США для реализации этого направления внешней политики?

## Темы презентаций:

• Выборы президента 1948 г. и приоритеты внешней политики США с учетом «Холодной войны».

• Идеологическое и геополитическое противостояние с СССР в конце 1940-х – начале 1950-х гг.

• Борьба США за влияние в странах третьего мира в конце 1940-х – начале 1950-х гг.

# North Atlantic Treaty (April 1949).



The Parties to this Treaty reaffirm their faith in the purposes and principles of the Charter of the United Nations and their desire to live in peace with all peoples and all governments. They are determined to safeguard the freedom, common heritage and civilisation of their peoples, founded on the principles of democracy, individual liberty and the rule of law. They seek to promote stability and well-being in the North Atlantic area.

They are resolved to unite their efforts for collective defence and for the preservation of peace and security. They therefore agree to this North Atlantic Treaty :

#### Article 1

The Parties undertake, as set forth in the Charter of the United Nations, to settle any international dispute in which they may be involved by peaceful means in such a manner that international peace and security and justice are not endangered, and to refrain in their international relations from the threat or use of force in any manner inconsistent with the purposes of the United Nations.

#### Article 2

The Parties will contribute toward the further development of peaceful and friendly international relations by strengthening their free institutions, by bringing about a better understanding of the principles upon which these institutions are founded, and by promoting conditions of stability and well-being. They will seek to eliminate conflict in their international economic policies and will encourage economic collaboration between any or all of them.

#### Article 3

In order more effectively to achieve the objectives of this Treaty, the Parties, separately and jointly, by means of continuous and effective selfhelp and mutual aid, will maintain and develop their individual and collective capacity to resist armed attack.

#### Article 4

The Parties will consult together whenever, in the opinion of any of them, the territorial integrity, political independence or security of any of the Parties is threatened.

## Article 5

The Parties agree that an armed attack against one or more of them in Europe or North America shall be considered an attack against them all and consequently they agree that, if such an armed attack occurs, each of them, in exercise of the right of individual or collective self-defence recognised by Article 51 of the Charter of the United Nations, will assist the Party or Parties so attacked by taking forthwith, individually and in concert with the other Parties, such action as it deems necessary, including the use of armed force, to restore and maintain the security of the North Atlantic area.

Any such armed attack and all measures taken as a result thereof shall immediately be reported to the Security Council. Such measures shall be terminated when the Security Council has taken the measures necessary to restore and maintain international peace and security.

#### Article 6 (1)

For the purpose of Article 5, an armed attack on one or more of the Parties is deemed to include an armed attack:

• on the territory of any of the Parties in Europe or North America, on the Algerian Departments of France (2), on the territory of or on the Islands under the jurisdiction of any of the Parties in the North Atlantic area north of the Tropic of Cancer;

• on the forces, vessels, or aircraft of any of the Parties, when in or over these territories or any other area in Europe in which occupation forces of any of the Parties were stationed on the date when the Treaty entered into force or the Mediterranean Sea or the North Atlantic area north of the Tropic of Cancer.

#### Article 7

This Treaty does not affect, and shall not be interpreted as affecting in any way the rights and obligations under the Charter of the Parties which are members of the United Nations, or the primary responsibility of the Security Council for the maintenance of international peace and security.

## Article 8

Each Party declares that none of the international engagements now in force between it and any other of the Parties or any third State is in conflict with the provisions of this Treaty, and undertakes not to enter into any international engagement in conflict with this Treaty.

#### Article 9

The Parties hereby establish a Council, on which each of them shall be represented, to consider matters concerning the implementation of this Treaty. The Council shall be so organised as to be able to meet promptly at any time. The Council shall set up such subsidiary bodies as may be necessary; in particular it shall establish immediately a defence committee which shall recommend measures for the implementation of Articles 3 and 5.

#### Article 10

The Parties may, by unanimous agreement, invite any other European State in a position to further the principles of this Treaty and to contribute to the security of the North Atlantic area to accede to this Treaty. Any State so invited may become a Party to the Treaty by depositing its instrument of accession with the Government of the United States of America. The Government of the United States of America will inform each of the Parties of the deposit of each such instrument of accession.

#### Article 11

This Treaty shall be ratified and its provisions carried out by the Parties in accordance with their respective constitutional processes. The instruments of ratification shall be deposited as soon as possible with the Government of the United States of America, which will notify all the other signatories of each deposit. The Treaty shall enter into force between the States which have ratified it as soon as the ratifications of the majority of the signatories, including the ratifications of Belgium, Canada, France, Luxembourg, the Netherlands, the United Kingdom and the United States, have been deposited and shall come into effect with respect to other States on the date of the deposit of their ratifications. (3)

# Article 12

After the Treaty has been in force for ten years, or at any time thereafter, the Parties shall, if any of them so requests, consult together for the purpose of reviewing the Treaty, having regard for the factors then affecting peace and security in the North Atlantic area, including the development of universal as well as regional arrangements under the Charter of the United Nations for the maintenance of international peace and security.

# Article 13

After the Treaty has been in force for twenty years, any Party may cease to be a Party one year after its notice of denunciation has been given to the Government of the United States of America, which will inform the Governments of the other Parties of the deposit of each notice of denunciation.

#### Article 14

This Treaty, of which the English and French texts are equally authentic, shall be deposited in the archives of the Government of the United States of America. Duly certified copies will be transmitted by that Government to the Governments of other signatories.

# Footnotes :

1. The definition of the territories to which Article 5 applies was revised by Article 2 of the Protocol to the North Atlantic Treaty on the accession of Greece and Turkey signed on 22 October 1951.

2. On January 16, 1963, the North Atlantic Council noted that insofar as the former Algerian Departments of France were concerned, the relevant clauses of this Treaty had become inapplicable as from July 3, 1962.

3. The Treaty came into force on 24 August 1949, after the deposition of the ratifications of all signatory states.

# Вопросы для самоконтроля

1. Проанализируйте пятую статью. Можно ли говорить об обязательном использовании вооруженных сил членами альянса в случае агрессии против одного из членов?

2. Какие действия рассматриваются как агрессия против члена (членов) альянса? При каких условиях вступает в действие 5-я статья?

3. Какие необходимы обязательные условия, чтобы альянс смог принять нового члена организации?

4. Могут ли участники альянса вносить изменение в Договор и если «да», то при выполнении каких условий?

5. Может ли участник Договора объявить об его денонсации и если «да», то каким образом это должно произойти?

6. Присутствуют ли в договоре сюжеты, которые касаются не военного сотрудничества, а других областей (сфер)?

# Темы презентаций:

• НАТО – фактор стабильности или фактор международной напряженности?

• Роль США в создании НАТО. Причины, цели, результаты.

• Эволюция НАТО в период «Холодной войны». Новые вызовы.

• США и НАТО: динамика взаимоотношений.

Harry S. Truman: Address to the Nation on Korea, (July 19, 1950).



At noon today I sent a message to the Congress about the situation in Korea. I want to talk to you tonight about that situation, and about what it means to the security of the United States and to our hopes for peace in the world.

Korea is a small country, thousands of miles away, but what is happening there is important to every American.

On Sunday, June 25th, Communist forces attacked the Republic of Korea.

This attack has made it clear, beyond all doubt, that the international Communist movement is willing to use armed invasion to conquer independent nations. An act of aggression such as this creates a very real danger to the security of all free nations.

The attack upon Korea was an outright breach of the peace and a violation of the Charter of the United Nations. By their actions in Korea, Communist leaders have demonstrated their contempt for the basic moral principles on which the United Nations is founded. This is a direct challenge to the efforts of the free nations to build the kind of world in which men can live in freedom and peace.

This challenge has been presented squarely. We must meet it squarely.  $\ldots$ 

The Communist invasion was launched in great force, with planes, tanks, and artillery. The size of the attack, and the speed with which it was

followed up, make it perfectly plain that it had been plotted long in advance.

As soon as word of the attack was received, Secretary of State [Dean] Acheson called me at Independence, Mo., and informed me that, with my approval, he would ask for an immediate meeting of the United Nations Security Council. The Security Council met just 24 hours after the Communist invasion began.

One of the main reasons the Security Council was set up was to act in such cases as this-to stop outbreaks of aggression in a hurry before they develop into general conflicts. In this case the Council passed a resolution which called for the invaders of Korea to stop fighting, and to withdraw. The Council called on all members of the United Nations to help carry out this resolution. The Communist invaders ignored the action of the Security Council and kept right on with their attack.

The Security Council then met again. It recommended that members of the United Nations help the Republic of Korea repel the attack and help restore peace and security in that area.

Fifty-two of the 59 countries which are members of the United Nations have given their support to the action taken by the Security Council to restore peace in Korea.

These actions by the United Nations and its members are of great importance. The free nations have now made it clear that lawless aggression will be met with force. The free nations have learned the fateful lesson of the 1930's. That lesson is that aggression must be met firmly. Appeasement leads only to further aggression and ultimately to war.

The principal effort to help the Koreans preserve their independence, and to help the United Nations restore peace, has been made by the United States. We have sent land, sea, and air forces to assist in these operations. We have done this because we know that what is at stake here is nothing less than our own national security and the peace of the world.

So far, two other nations–Australia and Great Britain–have sent planes to Korea; and six other nations–Australia, Canada, France, Great Britain, the Netherlands, and New Zealand–have made naval forces available.

Under the flag of the United Nations a unified command has been established for all forces of the members of the United Nations fighting in Korea. Gen. Douglas MacArthur is the commander of this combined force. The prompt action of the United Nations to put down lawless aggression, and the prompt response to this action by free peoples all over the world, will stand as a landmark in mankind's long search for a rule of law among nations.

Only a few countries have failed to endorse the efforts of the United Nations to stop the fighting in Korea. The most important of these is the Soviet Union. The Soviet Union has boycotted the meetings of the United Nations Security Council. It has refused to support the actions of the United Nations with respect to Korea.

The United States requested the Soviet Government, 2 days after the fighting started, to use its influence with the North Koreans to have them withdraw. The Soviet Government refused.

The Soviet Government has said many times that it wants peace in the world, but its attitude toward this act of aggression against the Republic of Korea is in direct contradiction of its statements.

For our part, we shall continue to support the United Nations action to restore peace in the world.

We know that it will take a hard, tough fight to halt the invasion, and to drive the Communists back. The invaders have been provided with enough equipment and supplies for a long campaign. They overwhelmed the lightly armed defense forces of the Korean Republic in the first few days and drove southward.

Now, however, the Korean defenders have reorganized and are making a brave fight for their liberty, and an increasing number of American troops have joined them. Our forces have fought a skillful, rearguard delaying action, pending the arrival of reinforcements. Some of these reinforcements are now arriving; others are on the way from the United States ....

Furthermore, the fact that Communist forces have invaded Korea is a warning that there may be similar acts of aggression in other parts of the world. The free nations must be on their guard, more than ever before, against this kind of sneak attack . . . .

When we have worked out with other free countries an increased program for our common defense, I shall recommend to the Congress that additional funds be provided for this purpose. This is of great importance. The free nations face a worldwide threat. It must be met with a worldwide defense. The United States and other free nations can multiply their strength by joining with one another in a common effort to provide this defense. This is our best hope for peace.

The things we need to do to build up our military defense will require considerable adjustment in our domestic economy. We have a tremendously rich and productive economy, and it is expanding every year.

Our job now is to divert to defense purposes more of that tremendous productive capacity-more steel, more aluminum, more of a good many things.

Some of the additional production for military purposes can come from making fuller use of plants which are not operating at capacity. But many of our industries are already going full tilt, and until we can add new capacity, some of the resources we need for the national defense will have to be taken from civilian uses.

This requires us to take certain steps to make sure that we obtain the things we need for national defense, and at the same time guard against inflationary price rises.

The steps that are needed now must be taken promptly.

In the message which I sent to the Congress today, I described the economic measures which are required at this time.

First, we need laws which will insure prompt and adequate supplies for military and essential civilian use. I have therefore recommended that the Congress give the Government power to guide the flow of materials into essential uses, to restrict their use for nonessential purposes, and to prevent the accumulation of unnecessary inventories.

Second, we must adopt measures to prevent inflation and to keep our Government in a sound financial condition. One of the major causes of inflation is the excessive use of credit. I have recommended that the Congress authorize the Government to set limits on installment buying and to curb speculation in agricultural commodities. In the housing field, where Government credit is an important factor, I have already directed that credit restraints be applied, and I have recommended that the Congress authorize further controls.

As an additional safeguard against inflation, and to help finance our defense needs, it will be necessary to make substantial increases in taxes. This is a contribution to our national security that every one of us should stand ready to make. As soon as a balanced and fair tax program can be worked out, I shall lay it before the Congress. This tax program will have as a major aim the elimination of profiteering.

Third, we should increase the production of goods needed for national defense. We must plan to enlarge our defense production, not just for the immediate future, but for the next several years. This will be primarily a task for our businessmen and workers. However, to help obtain the necessary increases, the Government should be authorized to provide certain types of financial assistance to private industry to increase defense production.

Our military needs are large, and to meet them will require hard work and steady effort. I know that we can produce what we need if each of us does his part–each man, each woman, each soldier, each civilian. This is a time for all of us to pitch in and work together. . . .

We have the resources to meet our needs. Far more important, the American people are unified in their belief in democratic freedom. We are united in detesting Communist slavery.

We know that the cost of freedom is high. But we are determined to preserve our freedom-no matter what the cost.

I know that our people are willing to do their part to support our soldiers and sailors and airmen who are fighting in Korea. I know that our fighting men can count on each and every one of you.

Our country stands before the world as an example of how free men, under God, can build a community of neighbors, working together for the good of all.

That is the goal we seek not only for ourselves, but for all people. We believe that freedom and peace are essential if men are to live as our Creator intended us to live. It is this faith that has guided us in the past, and it is this faith that will fortify us in the stern days ahead.

#### Вопросы для самоконтроля

1. Как президент Г. Трумэн охарактеризовал возникший в июне 1950 г. вооруженный конфликт на Корейском полуострове? С какими в этой связи предложениями выступили США?

2. Почему, по мнению Г. Трумэна, США должны вмешаться в конфликт ? Почему это важно для американцев?

3. Какие страны, помимо США, объявили о своем участии в войне на стороне Корейской республики? Как президент Г. Трумэн охарактеризовал позицию СССР по корейским событиям?

4. Как Г.Трумэн охарактеризовал военный и экономический потенциал США и что он предложил сделать, чтобы производство

военной продукции и других товаров, необходимых для ведения войны, вышло на полную мощность?

5. Какие цели провозгласил президент Г. Трумэн, объявив об участии США в войне в Корее?

#### Темы презентаций:

• Причины Корейской войны (1950-1953) и ход военных действий. Результаты.

• Влияние Корейской войны на внутриполитическую ситуацию в США.

• Влияние Корейской войны на систему международных отношений.

• Степень и роль международного участия в корейском конфликте.

### Harry S. Truman: Annual Message to Congress, (January 8, 1951).

Mr. President, Mr. Speaker, Members of the Congress:

This 82d Congress faces as grave a task as any Congress in the history of our Republic. The actions you take will be watched by the whole world. These actions will measure the ability of a free people, acting through their chosen representatives and their free institutions, to meet a deadly challenge to their way of life.

We can meet this challenge foolishly or wisely. We can meet it timidly or bravely, shamefully or honorably. I know that the 82d Congress will meet this challenge in a way worthy of our great heritage. I know that your debates will be earnest, responsible, constructive, and to the point. I know that from these debates there will come the great decisions needed to carry us forward.

At this critical time, I am glad to say that our country is in a healthy condition. Our democratic institutions are sound and strong. We have more men and women at work than ever before. We are able to produce more than ever before--in fact, far more than any country ever produced in the history of the world.

I am confident that we can succeed in the great task that lies before us. We will succeed, but we must all do our part. We must all act together as citizens of this great Republic. As we meet here today, American soldiers are fighting a bitter campaign in Korea. We pay tribute to their courage, devotion, and gallantry.

Our men are fighting, alongside their United Nations allies, because they know, as we do, that the aggression in Korea is part of the attempt of the Russian Communist dictatorship to take over the world, step by step.

Our men are fighting a long way from home, but they are fighting for our lives and our liberties. They are fighting to protect our right to meet here today--our right to govern ourselves as a free nation.

The threat of world conquest by Soviet Russia endangers our liberty and endangers the kind of world in which the free spirit of man can survive. This threat is aimed at all peoples who strive to win or defend their own freedom and national independence.

Indeed, the state of our Nation is in great part the state of our friends and allies throughout the world. The gun that points at them points at us, also. The threat is a total threat and the danger is a common danger.

All free nations are exposed and all are in peril. Their only security lies in banding together. No one nation can find protection in a selfish search for a safe haven from the storm.

The free nations do not have any aggressive purpose. We want only peace in the world--peace for all countries. No threat to the security of any nation is concealed in our plans and programs.

We had hoped that the Soviet Union, with its security assured by the Charter of the United Nations, would be willing to live and let live. But I am sorry to say that has not been the case.

The imperialism of the czars has been replaced by the even more ambitious, more crafty, and more menacing imperialism of the rulers of the Soviet Union.

This new imperialism has powerful military forces. It is keeping millions of men under arms. It has a large air force and a strong submarine force. It has complete control of the men and equipment of its satellites. It has kept its subject peoples and its economy in a state of perpetual mobilization.

The present rulers of the Soviet Union have shown that they are willing to use this power to destroy the free nations and win domination over the whole world.

The Soviet imperialists have two ways of going about their destructive work. They use the method of subversion and internal

revolution, and they use the method of external aggression. In preparation for either of these methods of attack, they stir up class strife and disorder. They encourage sabotage. They put out poisonous propaganda. They deliberately try to prevent economic improvement.

If their efforts are successful, they foment a revolution, as they did in Czechoslovakia and China, and as they tried, unsuccessfully, to do in Greece. If their methods of subversion are blocked, and if they think they can get away with outright warfare, they resort to external aggression. This is what they did when they loosed the armies of their puppet states against the Republic of Korea, in an evil war by proxy.

We of the free world must be ready to meet both of these methods of Soviet action. We must not neglect one or the other.

The free world has power and resources to meet these two forms of aggression--resources that are far greater than those of the Soviet dictatorship. We have skilled and vigorous peoples, great industrial strength, and abundant sources of raw materials. And above all, we cherish liberty. Our common ideals are a great part of our strength. These ideals are the driving force of human progress.

The free nations believe in the dignity and the worth of man. We believe in independence for all nations.

We believe that free and independent nations can band together into a world order based on law. We have laid the cornerstone of such a peaceful world in the United Nations.

We believe that such a world order can and should spread the benefits of modern science and industry, better health and education, more food and rising standards of living--throughout the world.

These ideals give our cause a power and vitality that Russian communism can never command.

Какие идеи, по мнению Г. Трумэна, объединяют (связывают между собой) «свободные нации» ?

The free nations, however, are bound together by more than ideals. They are a real community bound together also by the ties of self-interest and self-preservation. If they should fall apart, the results would be fatal to human freedom.

Our own national security is deeply involved with that of the other free nations. While they need our support, we equally need theirs. Our national safety would be gravely prejudiced if the Soviet Union were to succeed in harnessing to its war machine the resources and the manpower of the free nations on the borders of its empire.

If Western Europe were to fall to Soviet Russia, it would double the Soviet supply of coal and triple the Soviet supply of steel. If the free countries of Asia and Africa should fall to Soviet Russia, we would lose the sources of many of our most vital raw materials, including uranium, which is the basis of our atomic power. And Soviet command of the manpower of the free nations of Europe and Asia would confront us with military forces which we could never hope to equal.

In such a situation, the Soviet Union could impose its demands on the world, without resort to conflict, simply through the preponderance of its economic and military power. The Soviet Union does not have to attack the United States to secure domination of the world. It can achieve its ends by isolating us and swallowing up all our allies. Therefore, even if we were craven enough I do not believe we could be--but, I say, even if we were craven enough to abandon our ideals, it would be disastrous for us to withdraw from the community of free nations.

We are the most powerful single member of this community, and we have a special responsibility. We must take the leadership in meeting the challenge to freedom and in helping to protect the rights of independent nations.

This country has a practical, realistic program of action for meeting this challenge.

First, we shall have to extend economic assistance, where it can be effective. The best way to stop subversion by the Kremlin is to strike at the roots of social injustice and economic disorder. People who have jobs, homes, and hopes for the future will defend themselves against the underground agents of the Kremlin. Our programs of economic aid have done much to turn back Communism,

In Europe the Marshall plan has had an electrifying result. As European recovery progressed, the strikes led by the Kremlin's agents in Italy and France failed. All over Western Europe the Communist Party took worse and worse beatings at the polls.

The countries which have received Marshall plan aid have been able, through hard work, to expand their productive strength-in many cases, to levels higher than ever before in their history. Without this strength they would be completely incapable of defending themselves today. They are now ready to use this strength in helping to build a strong combined defense against aggression.

We shall need to continue some economic aid to European countries. This aid should now be specifically related to the building of their defenses.

In other parts of the world our economic assistance will need to be more broadly directed toward economic development. In the Near East, in Africa, in Asia, we must do what we can to help people who are striving to advance from misery, poverty, and hunger. We must also continue to help the economic growth of our good neighbors in this hemisphere. These actions will bring greater strength for the free world. They will give many people a real stake in the future and reason to defend their freedom. They will mean increased production of goods they need and materials we need.

Second, we shall need to continue our military assistance to countries which want to defend themselves.

The heart of our common defense effort is the North Atlantic community. The defense of Europe is the basis for the defense of the whole free world--ourselves included. Next to the United States, Europe is the largest workshop in the world. It is also a homeland of the great religious beliefs shared by many of our citizens beliefs which are now threatened by the tide of atheistic communism.

Strategically, economically, and morally, the defense of Europe is a part of our own defense. That is why we have joined with the countries of Europe in the North Atlantic Treaty, pledging ourselves to work with them.

There has been much discussion recently over whether the European countries are willing to defend themselves. Their actions are answering this question.

Our North Atlantic Treaty partners have strict systems of universal military training. Several have recently increased the term of service. All have taken measures to improve the quality of training. Forces are being trained and expanded as rapidly as the necessary arms and equipment can be supplied from their factories and ours. Our North Atlantic Treaty partners, together, are building armies bigger than our own.

None of the North Atlantic Treaty countries, including our own country, has done enough yet. But real progress is being made. Together, we have worked out defense 'plans. The military leaders of our own country took part in working out these plans, and are agreed that they are sound and within our capabilities. To put these plans into action, we sent to Europe last week one of our greatest military commanders, General Dwight D. Eisenhower.

General Eisenhower went to Europe to assume command of the united forces of the North Atlantic Treaty countries, including our own forces in Germany.

The people of Europe have confidence in General Eisenhower. They know his ability to put together a fighting force of allies. His mission is vital to our security. We should all stand behind him, and give him every bit of help we can.

Part of our job will be to reinforce the military strength of our European partners by sending them weapons and equipment as our military production expands.

Our program of military assistance extends to the nations in the Near East and the Far East which are trying to defend their freedom. Soviet communism is trying to make these nations into colonies, and to use their people as cannon fodder in new wars of conquest. We want their people to be free men and to enjoy peace.

Our country has always stood for freedom for the peoples of Asia. Long, long ago it stood for the freedom of the peoples of Asia. Our history shows this. We have demonstrated it in the Philippines. We have demonstrated it in our relations with Indonesia, India, and with China. We hope to join in restoring the people of Japan to membership in the community of free nations.

It is in the Far East that we have taken up arms, under the United Nations, to preserve the principle of independence for free nations. We are fighting to keep the forces of Communist aggression from making a slave state out of Korea.

Korea has tremendous significance for the world. It means that free nations, acting through the United Nations, are fighting together against aggression.

We will understand the importance of this best if we look back into history. If the democracies had stood up against the invasion of Manchuria in 1931, or the attack on Ethiopia in 1935, or the seizure of Austria in 1938, if they had stood together against aggression on those occasions as the United Nations has done in Korea, the whole history of our time would have been different.

The principles for which we are fighting in Korea are right and just. They are the foundations of collective security and of the future of free nations. Korea is not only a country undergoing the torment of aggression; it is also a symbol. It stands for right and justice in the world against oppression and slavery. The free world must always stand for these principles--and we will stand with the free world.

As the third part of our program, we will continue to work for peaceful settlements in international disputes. We will support the United Nations and remain loyal to the great principles of international cooperation laid down in its charter.

We are willing, as we have always been, to negotiate honorable settlements with the Soviet Union. But we will not engage in appeasement.

The Soviet rulers have made it clear that we must have strength as well as right on our side. If we build our strength--and we are building it-the Soviet rulers may face the facts and lay aside their plans to take over the world.

That is what we hope will happen, and that is what we are trying to bring about. That is the only realistic road to peace.

These are the main elements of the course our Nation must follow as a member of the community of free nations. These are the things we must do to preserve our security and help create a peaceful world. But they will be successful only if we increase the strength of our own country.

Here at home we have some very big jobs to do. We are building much stronger military forces--and we are building them fast. We are preparing for full wartime mobilization, if that should be necessary. And we are continuing to build a strong and growing economy, able to maintain whatever effort may be required for as long as necessary.

We are building our own Army, Navy, and Air Force to an active strength of nearly 3 1/2 million men and women. We are stepping up the training of the reserve forces, and establishing more training facilities, so that we can rapidly increase our active forces far more on short notice.

We are going to produce all the weapons and equipment that such an armed force will need. Furthermore, we will make weapons for our allies, and weapons for our own reserve supplies. On top of this, we will build the capacity to turn out on short notice arms and supplies that may be needed for a full-scale war.

Fortunately, we have a good start on this because of our enormous plant capacity and because of the equipment on hand from the last war. For example, many combat ships are being returned to active duty from the "mothball fleet" and many others can be put into service on very short notice. We have large reserves of arms and ammunition and thousands of workers skilled in arms production.

In many cases, however, our stocks of weapons are low. In other cases, those on hand are not the most modern. We have made remarkable technical advances. We have developed new types of jet planes and powerful new tanks. We are concentrating on producing the newest types of weapons and producing them as fast as we can.

This production drive is more selective than the one we had during World War II, but it is just as urgent and intense. It is a big program and it is a costly one.

Let me give you two concrete examples. Our present program calls for expanding the aircraft industry so that it will have the capacity to produce 50,000 modern military planes a year. We are preparing the capacity to produce 35,000 tanks a year. We are not now ordering that many planes or that many tanks, and we hope that we never have to, but we mean to be able to turn them out if we need them.

The planes we are producing now are much bigger, much better, and much more expensive than the planes we had during the last war.

We used to think that the B-17 was a huge plane, and the blockbuster it carried a huge load. But the B-36 can carry five of these blockbusters in its belly, and it can carry them five times as far. Of course, the B-36 is much more complicated to build than the B-17, and far more expensive. One B-17 costs \$275,000, while now one B-36 costs \$3 S million.

I ask you to remember that what we are doing is to provide the best and most modern military equipment in the world for our fighting forces.

This kind of defense production program has two parts. The first part is to get our defense production going as fast as possible. We have to convert plants and channel materials to defense production. This means heavy cuts in civilian uses of copper, aluminum, rubber, and other essential materials. It means shortages in various consumer goods.

The second part is to increase our capacity to produce and to keep our economy strong for the long pull. We do not know how long Communist aggression will threaten the world.

Only by increasing our output can we carry the burden of preparedness for an indefinite period in the future. This means that we will have to build more power plants and more steel mills, grow more cotton, mine more copper, and expand our capacity in many other ways. The Congress will need to consider legislation, at this session, affecting all the aspects of our mobilization job. The main subjects on which legislation will be needed are:

First, appropriations for our military buildup. Second, extension and revision of the Selective Service Act. Third, military and economic aid to help build up the strength of the free world. Fourth, revision and extension of the authority to expand production and to stabilize prices, wages, and rents. Fifth, improvement of our agricultural laws to help obtain the kinds of farm products we need for the defense effort. Sixth, improvement of our labor laws to help provide stable labor-management relations and to make sure that we have steady production in this emergency. Seventh, housing and training of defense workers and the full use of all our manpower resources. Eighth, means for increasing the supply of doctors, nurses, and other trained medical personnel critically needed for the defense effort. Ninth, aid to the States to meet the most urgent needs of our elementary and secondary schools. Some of our plans will have to be deferred for the time being. But we should do all we can to make sure our children are being trained as good and useful citizens in the critical times ahead. Tenth, a major increase in taxes to meet the cost of the defense effort.

The Economic Report and the Budget Message will discuss these subjects further. In addition, I shall send to the Congress special messages containing detailed recommendations on legislation needed at this Session.

In the months ahead the Government must give priority to activities that are urgent--like military procurement and atomic energy and power development. It must practice rigid economy in its nondefense activities. Many of the things we would normally do must be curtailed or postponed.

But in a long-term defense effort like this one, we cannot neglect the measures needed to maintain a strong economy and a healthy democratic society.

The Congress, therefore, should give continued attention to the measures which our country will need for the long pull. And it should act upon such legislation as promptly as circumstances permit.

To take just one example--we need to continue and complete the work of rounding out our system of social insurance. We still need to improve our protection against unemployment and old age. We still need to provide insurance against the loss of earnings through sickness, and against the high costs of modern medical care. And above all, we must remember that the fundamentals of our strength rest upon the freedoms of our people. We must continue our efforts to achieve the full realization of our democratic ideals. We must uphold the freedom of speech and the freedom of conscience in our land. We must assure equal rights and equal opportunities to all our citizens.

As we go forward this year in the defense of freedom, let us keep dearly before us the nature of our present effort.

We are building up our strength, in concert with other free nations, to meet the danger of aggression that has been turned loose on the world. The strength of the free nations is the world's best hope of peace.

I ask the Congress for unity in these crucial days. Make no mistake about my meaning. I do not ask, or expect, unanimity. I do not ask for an end to debate. Only by debate can we arrive at decisions which are wise, and which reflect the desires of the American people. We do not have a dictatorship in this country, and we never will have one in this country.

When I request unity, what I am really asking for is a sense of responsibility on the part of every Member of this Congress. Let us debate the issues, but let every man among us weigh his words and his deeds. There is a sharp difference between harmful criticism and constructive criticism. If we are truly responsible as individuals, I am sure that we will be unified as a government.

Let us keep our eyes on the issues and work for the things we all believe in.

Let each of us put our country ahead of our party, and ahead of our own personal interests.

I had the honor to be a Member of the Senate during World War II, and I know from experience that unity of purpose and of effort is possible in the Congress without any lessening of the vitality of our two-party system.

Let us all stand together as Americans. Let us stand together with all men everywhere who believe in human liberty.

Peace is precious to us. It is the way of life we strive for with all the strength and wisdom we possess. But more precious than peace are freedom and justice. We will fight, if fight we must, to keep our freedom and to prevent justice from being destroyed.

These are the things that give meaning to our lives, and which we acknowledge to be greater than ourselves. This is our cause--peace, freedom, justice. We will pursue this cause with determination and humility, asking divine guidance that in all we do we may follow the will of God.

### Вопросы для самоконтроля:

1. Какая связь, по мнению Г. Трумэна, существует между Корейской войной и империализмом Советского Союза?

2. Какие, по мнению президента США, два вида агрессии использует СССР, чтобы добиться доминирующего положения в мире?

3. Какие цели, по мнению президента, преследует Советская Россия и почему для противостояния ей необходимо противостоять Москве во всех частях мира?

4. Почему, считал президент, США должны взять на себя особую миссию возглавить страны «свободного мира» в их противостоянии коммунистической России?

5. Как Г. Трумэн оценил эффективность «Плана Маршалла» для экономического восстановления Европы и противодействия агентам Москвы?

6. Что Г. Трумэн говорит об экономической помощи странам «третьего мира»?

7. Почему президент Г. Трумэн рассматривает военное сотрудничество с европейскими странами как высший приоритет? Какие он приводит аргументы?

8. Почему, по мнению Г. Трумэна, необходимо всеми мерами противодействовать коммунистической агрессии на Ближнем и Дальнем Востоке, да и в Азии в целом?

9. Какие аргументы приводит Г. Трумэн, обосновывая участие США в войне в Корее ?

10.Какие аргументы о необходимости дальнейшего развития и совершенствования ВПК приводятся президентом США?

11. Что в себя включает Программа, состоящая из двух частей, по развитию оборонного промышленного комплекса?

12.Какие, по мнению президента, должны принять законодательные акты конгрессмены 82-го созыва для того, чтобы простимулировать развитие военного и промышленного потенциала страны?

13.Какие, по мнению Г. Трумэна, необходимо предпринять меры, чтобы в долгосрочной перспективе укрепить и развить в стране

гражданские свободы, социальные гарантии и добиться равных прав и возможностей для всех граждан страны? Как это связано с успешной внешней политикой?

14.Какой смысл, вкладывает президент в призыв укреплять единство и объединиться в стремлении добиться реализации поставленных целей?

## Темы презентаций:

• Модернизация и развитие ВПК в США в период Корейской войны.

• Обострение отношений между СССР и США в период войны в Корее.

• Идеологическое обоснование противостояния США коммунистической угрозе по всему миру.

# СПИСОК РЕКОМЕНДУЕМОЙ ЛИТЕРАТУРЫ

1. Алтунян, А. Т. Анализ политических текстов: учеб. пособие / А. Т. Алтунян. – Москва, 2010.

2. Военно-стратегический паритет и политика США / А. Р. Арбатов. – Москва, 1984.

3. Внешняя политика США. Том 1-2 / отв. ред. Г. А. Трофименко. – Москва, 1984.

4. Журкин, В. В. США и международно-политические кризисы / В. В. Журкин. – Москва, 1975.

5. Мальков, В. Л. Россия и США в XX веке. Очерки истории межгосударственных отношений и дипломатии в социокультурном контексте / В. Л. Мальков. – Москва, 2009.

6. Мельников Ю.М. От Потсдама до Гуама. Очерки американской дипломатии. М., 1974.

7. Никонов, В. А. От Эйзенхауэра к Никсону: из истории республиканской партии / В. А. Никонов. – Москва, 1984.

8. Печатнов, В. О. Сталин, Рузвельт, Трумэн: СССР и США в 1940-х гг. / В. О. Печатнов. – Москва, 2006.

9. Печатнов, В. О. История внешней политики США / В. О. Печатнов, А. С. Маныкин. – Москва, 2012.

10. Политика США в меняющемся мире / отв. ред. П. Т. Подлесный. – Москва, 2004.

11. Согрин, В. В. Президенты и демократия. Американский опыт / В. В. Согрин. – Москва, 1998.

12. Сударев, В. П. Взаимозависимость и конфликт интересов в США и Латинской Америке / В. П. Сударев. – Москва, 2005.

13. Сударев, В. П. Межамериканская система: генезис и эволюция / В. П. Сударев. – Москва, 2008.

14. Трофименко, Г. А. США: политика, война, идеология / Г. А. Трофименко. – Москва, 1976

15. Шейгал, Е. И. Инаугурационное обращение как жанр политического дискурса / Е. И. Шейгал // Жанры речи. – Саратов, 2002.

16. Ambrose, S., D. Brinkley. Rise to Globalism: American Foreign Policy since 1938 / S. Ambrose, D. Brinkley. – New York, 1997.

17. Bemis, S. F. Diplomatic History of The United States / S. F. Bemis. – New York, 1965.

18. K.Campbell, K. K. Inaugurating the Presidency / K. K. Campbell K., K. H. Jamieson // Form, Genre and the Study of Political Discourse. – Columbia, 1986.

19. Herring, G. From Colony to Superpower. U. S. Foreign Relations since 1776. – New York, 2008.

20. Hagan, M. T. Patterson (eds.). Explaining the History of American Foreign Policy / M. Hagan, T. Patterson (eds.). – 2nd edition. – Cambridge, 2004.

21. Gaddis, J. Strategies of Containment. A Critical Appraisal of American National Security Policy during the Cold war / J. Gaddis. – Revised and Expanded Edition. – New York, 2005.

22. Kretchik, W. U. S. Army Doctrine: From the American Revolution to the War on Terror / W. Kretchik. – 2011.

23. Lafeber, W. America, Russia and Cold War / W. Lafeber. - New York, 1993.

24. Lafeber, W. The American Age. United States Foreign Policy at Home and Abroad / W. Lafeber. – New York, 1994.

ДЛЯ ЗАМЕТОК

ДЛЯ ЗАМЕТОК

Учебное издание

Золотых Владимир Рудольфович

# ВНЕШНЯЯ ПОЛИТИКА США ВО ВТОРОЙ ПОЛ. XX в. – НАЧ. XXI в.» ВЫПУСК 1 (сборник документов 1945-1952)

Учебное пособие

Авторская редакция

Подписано в печать 30.03.2022 Формат 60х84 <sup>1</sup>/<sub>16</sub>. Усл. печ. л. 7,32. Уч. изд. л.8,62 Тираж 33 экз. Заказ № 719.

Издательский центр «Удмуртский университет» 426004, Ижевск, Ломоносова, 4Б, каб. 021 Тел. + 7 (3412) 916-364, E-mail: editorial@udsu.ru

Типография Издательского центра «Удмуртский университет» 426034, Ижевск, ул. Университетская, 1, корп. 2. Тел. 68-57-18